

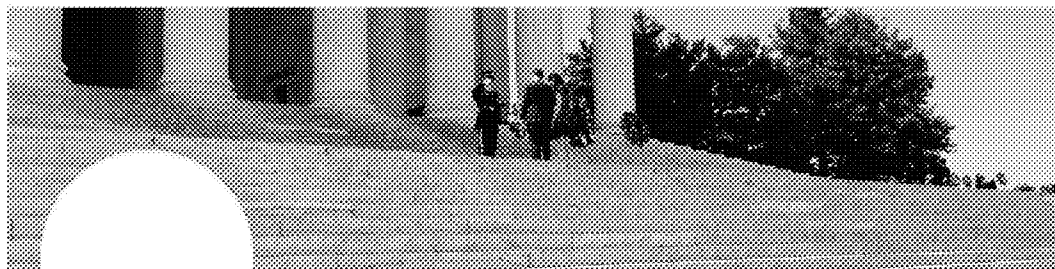
EXHIBIT 1



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Oliver Schwab

Chief of Staff, United States Congress

Washington D.C. Metro Area

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U.S. House of Representatives



Naval War College



See contact info



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customers for your business. Try
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Chief Deputy U.S. Marshal at Un
States Marshals Service

Robert Cogan

Chief Of Staff at U.S. House of
Representatives

Mark Lopez

Chief of Staff at Office of Pete Vi



Lindley Kratovil

Chief of Staff at Representative E
Stefanik

Ashwin Singhaviranon

Chief of Staff at Bridgewater Ass



John DeStefano

The White House



Jeremy Deutsch

Chief of Staff at House Republic
Conference

Adrienne Elrod

Chief of Staff at US Congress



Jamie Robinette

Chief of Staff at Congressman Jo
Culberson

Miguel Franco

Chief of Staff for Rep. Tony Cárde

Experience



Chief of Staff

U.S. House of Representatives

Jan 2011 – Present • 7 yrs 4 mos



50-Ton Master

United States Merchant Marine

Jun 1998 – Present • 19 yrs 11 mos

Education



Naval War College

Strategy and War



Goucher College

BA, Political Science and International Relations

2003 – 2007

Skills & Endorsements

Politics · 99+

Endorsed by Chuck Brooks and 31 others who are
highly skilled at thisEndorsed by 38 of Oliver's colleagues at U.S. House
of Representatives

Political Campaigns · 99+

Endorsed by Todd Poole and 12 others who are
highly skilled at thisEndorsed by 26 of Oliver's colleagues at U.S. House
of Representatives

Public Policy · 99+

Learn the skills Oliver has

Crisis Communication

Viewers: 40,445

Guy Kawasaki on

Entrepreneurship

Viewers: 69,900

Entrepreneurship: Rai

Messaging



in

Q Search

Free Upgrade to Premium

Show more

Recommendations

Received (43)

Given (18)

Ashley Sylvester

Director of Operations at U.S. House of Representatives

August 27, 2017, Ashley reported directly to Oliver

Oliver is a great leader and a great mentor for all people who know him. He cares deeply about the success of other people and wants to be of help in any way possible. While working insane hours, he also makes people and learning a priority in his life. He is a true professional who will go above and beyond what is in his job description. Oliver is a person you want in your corner, and I'm a better person and colleague because of his leadership.

Christopher Clements

Philanthropist, Halftime Institute Amassador, Director at Boulder Crest Retreat & Forest Home Foundation

February 15, 2017, Christopher worked with Oliver but at different companies

Oliver is a singular personality in Washington, DC. As a Chief of Staff to a rising Member of Congress, there is no one more dedicated. As a historian of everything Washington - including the Capitol, the Library of Congress, and Mount Vernon - there is no one more knowledgeable. As a public servant and friend, there is no one more honorable or loyal. Ollie is the best.

Show more

Interests

CVS Health

235,846 followers

US Air Force Reserve

30,894 followers

International Organization of Migration

989 followers

German Marshall Fund of the United States

1,424 members

ASU Lodestar Center for Philanthropy

2,200 members

Carney, Sandoe & Associates

2,634 members

See all

https://www.linkedin.com/in/oliver-schwab-85619114/

2/2

17-4789_0003

EXHIBIT 2

UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT	FORM B For use by candidates and new employees
Period covered: January 1, <u>2010</u> - May 16, <u>2011</u>	

Page 1 of 7
HAND DELIVERED

Name: <u>Richard Oliver Schwartz, Jr.</u>		Daytime Telephone: <u>[REDACTED]</u>		LEGISLATIVE RESOURCE CENTER 2011 MAY 16 AM 10:13 OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES (Office Use Only)	
Filer Status <input type="checkbox"/> Candidate for the House of Representatives <input checked="" type="checkbox"/> New officer or employee	State: _____ District: _____ Date of Election: _____ Employing Office: <u>Rep. David Schweikert</u>	Check if Amendment <input type="checkbox"/>		A \$200 penalty shall be assessed against any individual who files more than 30 days late.	

In all sections, please type or print clearly in blue or black ink.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IV. Did you hold any reportable positions on or before the date of filing in the current calendar year or in the prior two years? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule II.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	V. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you receive compensation of more than \$5,000 from a single source in the last prior years? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS —Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or a dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Name <u>Richard Oliver Schwab, Jr.</u>	Page <u>2</u> of <u>2</u>
--	---------------------------

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

[illegible]

17-4789_0006

SCHEDULE II — ASSETS AND "UNEARNED" INCOME

Name Richard Owen Schwab, Sr. Page 3 of 7

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset						BLOCK C Type of Income				BLOCK D Amount of Income										
Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in personal checking or savings accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.		Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."						Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.				For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.										
		A	C	E	G	I	K					Current Year					Preceding Year					
		None	\$1,001 - \$15,000	\$50,001 - \$100,000	\$250,001 - \$500,000	\$1,000,001 - \$5,000,000	\$25,000,001 - \$50,000,000	NONE	RENT	CAPITAL GAINS	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	II	IV	VI	VIII	X	I	III	V	VII	IX	XI
SP	SP: Mega Corp. Stock			X				X										X				
DC, Examples:	Simon & Schuster		Indefinite								Royalties		X						X			
JT	1st Bank of Paducah, KY accounts							X											X			
	SP: East Texas Perm Inv Fd																					
	Fairholme Fund																					
	Robeco Russell 3000 Index Fund		X																			
	Amgen Isharef MSCI Index Fund		X																			
	SP: Gold Trust		X																			
	USGI Global Resources Fund		X																			

For additional assets and unearned income, use next page.

SCHEDULE II - ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Richard Olwin Schmale, Jr.

Page 4 of 7

SP, JT, DC	BLOCK A Asset and/or Income Source	BLOCK B Value of Asset						BLOCK C Type of Income				BLOCK D Amount of Income												
		A None	C \$1,001 – \$15,000	E \$50,001 – \$100,000	G \$250,001 – \$500,000	I \$1,000,001 – \$5,000,000	K \$25,000,001 – \$50,000,000	None	RENT	CAPITAL GAINS	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year					Preceding Year							
												II \$1 – \$200	IV \$1,001 – \$2,500	VI \$5,001 – \$15,000	VIII \$50,001 – \$100,000	X \$1,000,001 – \$5,000,000	I None	III \$201 – \$1,000	V \$2,501 – \$5,000	VII \$15,001 – \$50,000	IX \$100,001 – \$1,000,000	XI Over \$5,000,000		
	25 Global Natural Resources Fund - J	X																						
	FPA Crescent Fund - I																							
	Absolute Strategies Fund - I																							
	Fidelity US Treasury Bond Fund																							
	Pineco Total Return Fund Inst																							
	DWS Managed Municipal Bond Fund - Ed		X																					
	Fidelity International T/E Money Market Fund		X																					
	Fidelity Spartan Tax-Free Bond Fund																							
	Blackstone Group LP/THE	X																						
	FPA Crescent Fund		X																					
	Fairholme Fund		X																					
	Dutman Protected TR Robert 20		X																					
	iShares MSCI ACWI Ex-US		X																					
	IMM International Fund - I	X																						

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Continuation Sheet (if needed)

Page 5 of 7

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SCHEDULE III — LIABILITIES

Name

Richard Olwin Schmidt, Jr.

Page *6* of *7*

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the reporting period. **Exclude:** Any mortgage on your personal residence (unless there is rental income); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the previous calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred mo/year	Type of Liability	Amount of Liability					
				B \$15,001— \$50,000	D \$100,001— \$250,000	F \$500,001— \$1,000,000	H \$5,000,001— \$25,000,000	J Over \$50,000,000	
	<i>Example: First Bank of Wilmington, DE</i>	<i>May 1998</i>	<i>Mortgage on 123 Main Street, Dover, DE</i>		<i>X</i>				
	<i>Wells Fargo Bank, NA</i>	<i>July 2009</i>	<i>Home Mortgage \$250,000 Am. Rev. 10/1/2009</i>		<i>X</i>				
	<i>Atty Bank</i>	<i>March 2010</i>	<i>Auto loan \$10,000 10/1/2009</i>						

SCHEDULE IV — POSITIONS

Report all positions, compensated or uncompensated, held on or before the date of filing during the current calendar year and in the two prior years as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as a political party or campaign organization); and positions solely of an honorary nature.

Position	Name of Organization

Use additional sheets if more space is required.

SCHEDULE V — AGREEMENTS

Name

Richard Oliver Schwarz, Jr.

Page *7* of *7*

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

SCHEDULE VI — COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of such compensation received by you or your business affiliation for services provided directly by you during the two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any nonprofit organization if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. Government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule I.

Source (Name and Address)	Brief Description of Duties
<i>Example:</i> Doe Jones & Smith, Hometown, Homestate	Accounting services

HAND DELIVERED

Page 1 of 3

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT		Form A For use by Members, officers, and employees	
Name: <u>Richard Oliver Schwab, Jr.</u>		Daytime Telephone: [REDACTED]	
Filer Status <input type="checkbox"/> Member of the U.S. House of Representatives <input type="checkbox"/> Officer or Employee	State: _____ District: <u>1</u>	Employing Office: <u>Rep. David Schweikert</u> Termination Date: _____	
Report Type <input type="checkbox"/> Annual (May 16, 2011) <input checked="" type="checkbox"/> Amendment	<input type="checkbox"/> Termination		

2011 AUG 30 PM 4:46
 U.S. HOUSE OF REPRESENTATIVES
 (Office Use Only)
A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS —Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input type="checkbox"/>
EXEMPTION —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input type="checkbox"/>

SCHEDULE III—ASSETS AND “UNEARNED” INCOME

Name Richard Olson Schwab, Jr.

Page 2 of 3

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction	
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in “unearned” income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>		<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be “None.”</p>												<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the “None” column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check “None” if the asset generated no income during the reporting period.</p>						<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the “None” column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check “None” if no income was earned or generated.</p>											<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p> <p>If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.</p>	
		A	B	C	D	E	F	G	H	I	J	K	L						I	II	III	IV	V	VI	VII	VIII	IX	X	XI			
		None	\$1 – \$1,000	\$1,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$250,000	\$250,001 – \$500,000	\$500,001 – \$1,000,000	\$1,000,001 – \$5,000,000	\$5,000,001 – \$25,000,000	\$25,000,001 – \$50,000,000	Over \$50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	\$1 – \$200	\$201 – \$1,000	\$1,001 – \$2,500	\$2,501 – \$5,000	\$5,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$1,000,000	\$1,000,001 – \$5,000,000	Over \$5,000,000	P, S, E
SP	Examples:	SP	Mega Corp. Stock			X									X		X						X								S (partial)	
DC			Simon & Schuster	Indefinite												X			Royalties									X				
JT			1st Bank of Paducah, KY Accounts		X																			X								
			Franklin High Yield T/F	X											X						X											
			Fidelity Spartan Tax Free Bond Fund	X											X						X											
			Vanguard Short Term Tax-Exempt Fund Admiral Shares	X											X						X											
			Fidelity Institutional T/E Money Fund		X										X						X											
			DWS Intermediate Tax-Free Fund	X											X						X											
			Vanguard HY Tax Exempt-ADM	X											X						X											

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND “UNEARNED” INCOME

Continuation Sheet (if needed)

Name <u>Richard Oliver Schwab, Jr.</u>	Page <u>3</u> of <u>3</u>
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SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction	
		A	B	C	D	E	F	G	H	I	J	K	L								I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, E	
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000		
Issues Russell 3000		X												X							X												
FPA Crescent Fund			X											X							X												
Fairholme Fund				X										X									X										
US Govt Fixed Income				X										X							X												
State Street Ins. Treasury - IV				X										X							X												
Issues MSCI ACWI EX-US			X												X							X											
Absolute Strategies Fund - I					X										X							X											
RS Global Nat Resources Fund - Y			X											X							X												
USG Global Resources Fund				X											X							X											
Spdr Gold Trust				X										X							X												
Fidelity US Treasury MMAT Fund		X												X							X												
Pimco Total Return Fund - Inst		X													X							X											
DWS Managed Municipal Bond Fund - Inst			X											X							X												
Levensayles Intermediate Duration Fund - I			X											X							X												
Blackstone Group LP/ITM			X												X							X											
Delaware Pooled TR Select 20			X											X							X												
First Eagle Global - I			X												X							X											
IVA International Fund - I			X												X							X											
Tweedy Brown GL Val II - Ctr			X												X							X											
Wintersgreen Fund			X											X							X												
Vanguard Emerging Market ETF	X													X							X												

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**UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT**

Form A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

Page 1 of 4
2012 MAY 15 PM 4:12

U. S. OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

**HAND
DELIVERED**

(Office Use Only)

Name: ALFRED OLIVER SCHWAB, JR. Daytime Telephone: [REDACTED]

Filer Status: ☐ Member of the U.S. House of Representatives State: _____ District: _____
Report Type: ☐ Annual (May 15, 2012) ☐ Amendment

☒ Officer or Employee
Employing Office: REP. DAVID SCHWEIKERT
Termination Date: JUNE 1, 2012

**A \$200 penalty shall be assessed
against anyone who files more than
30 days late.**

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS —Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2012 FINANCIAL DISCLOSURE STATEMENT**

Form A
For use by Members, officers, and employees

Name: Richard Oliver Schwab

Daytime Telephone: [REDACTED]

Filer Status	<input type="checkbox"/> Member of the U.S. House of Representatives	State: _____ District: _____	<input checked="" type="checkbox"/> Officer or Employee	Employing Office: <u>Rep. David Schweikert</u>
Report Type	<input checked="" type="checkbox"/> Annual (May 15, 2013)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Termination Date: _____

HAND DELIVERED 1 of 14

RELATIVE RESOURCE CEN.

2013 AUG 13 AM 9:28

U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

IPO—Did you purchase any shares that were allocated as a part of an Initial Public Offering?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Name Richard Oliver Schwab Page 3 of 14

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND “UNEARNED” INCOME

Continuation Sheet (if needed)

Name Richard Oliver Schwab

Page 4 of 14

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	
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SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Richard Oliver Schenck

Page 5 of 14

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II \$1 - \$200	III \$201 - \$1,000	IV \$1,001 - \$2,500	V \$2,501 - \$5,000	VI \$5,001 - \$15,000	VII \$15,001 - \$50,000	VIII \$50,001 - \$100,000	IX \$100,001 - \$1,000,000	X \$1,000,001 - \$5,000,000	XI Over \$5,000,000	XII Spouse/DC Income over \$1,000,000*	P S M	Transaction		
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*																							
	Fidelity Floating Rate High Income				X											X																					
	Absolute Opportunities Fund - I					X														X																	
	Absolute Strategies Fund - I						X									X																					
	Hartford Global Real Asset Fund				X														X																		
	RS Global Natural Resources - Y				X														X																		
	USGI Global Refractive FD - Bus				X														X																		
	SADR Gold Trust					X													X																		
	Reliance Limited Term Divid	X														X								X													
	Pimco Total Return Fund - Bus	X														X								X													
	Bank of America Checking Act		X															X						X													
	Bank of America Savings Act		X															X						X													
	Wells Fargo Checking Act	X																X						X													
	State Street Insurance Company IV				X																X																
	Investco Balanced Risk Allocation Fund Y				X														X					X													
	Templeton Global Bond Fund		X																X					X													
	WIM Focused Int'l Growth				X														X						X												
	Schwab Emerging Markets Equity Fd				X														X					X													
	iShares American Natural Resource Sect				X														X					X													
	Vanguard Short Term Tax-Expt Admnd		X																X					X													
	Fidelity International T-Bill Portfolio		X																X					X													
	Reliance Pooled TR Short 20		X																X					X													

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SCHEDULE IV— TRANSACTIONS

Name Richard Oliver Schwab

Page 7 of 14

SP, DC, JT		Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
			PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K
								\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Asset)
SP		Example: Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
		USGI Global Resource Fund	X				4/20/12	X										
		Loomis Sayles Intermediate Duration Fund -I	X				4/20/12	X										
		Absolute Strategies Fund -I	X				4/20/12	X										
		DWS Intermediate Tax/AMT Free Fund	X				4/20/12	X										
		RS Global Natural Resource -Y	X				4/20/12	X										
		SPDR Gold Trust	X				7/30/12	X										
		DWS Intermediate Tax/AMT Free Fund		X			4/26/12	X										
		Brickmans Active Income Fund		X			10/8/12	X										
		Absolute Strategies Fund -I		X			10/8/12	X										
		Absolute Opportunities Fund -I		X			10/8/12	X										
		iShares Russell 2000		X			10/8/12	X										
		USGI Global Resources Fund		X			6/14/12	X										
		Loomis Sayles Intermediate Duration Fund -I		X			10/9/12	X										
		Watersgreen Fund Trust		X			5/18/12	X										
		Eythman's Em Consumer ETF	X				1/26/12	X										
		Vanguard FTSE Emerging Market ETF	X				1/26/12		X									

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SCHEDULE IV—TRANSACTIONS

Name Richard Olwin Schwab Page 8 of 14

SP, DC, JT		Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
			PURCHASE	SALE	EXCHANGE			A \$1.00 - \$15,000	B \$15,000 - \$5,000	C \$5,000 - \$50,000	D \$50,000 - \$100,000	E \$100,000 - \$250,000	F \$250,000 - \$500,000	G \$500,000 - \$1,000,000	H \$1,000,000 - \$5,000,000	I \$5,000,000 - \$25,000,000	J \$25,000,000 - \$50,000,000	K Over \$50,000,000 (Spouse/DC asset)
SP	Example:	Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
		Driehaus Active Income Fund	X				1/27/12	X										
		USAI Global Resource Fund	X				1/30/12	X										
		Doubleline Total Return Bond Fund	X				1/30/12	X										
		FPA New Income Fund	X				2/1/12	X										
		Vanguard FSE Emerging Market ETF	X				2/9/12	X										
		Artisan Value Fund - IV	X				2/29/12		X									
		Delaware Diversified Bond - I	X				2/29/12	X										
		Metropolitan West H/Y Bond - I	X				2/29/12	X										
		Schwab ARS Ret Smld Ann Cap Inv	X				2/29/12	X										
		Doubleline Total Return Bond Fund	X				3/21/12	X										
		FPA New Income Fund Inc	X				3/29/12	X										
		Invesco Global Risk Altern Fund	X				3/30/12	X										
		SPDR Gold Trust	X				5/4/12	X										
		Apartford Global Real Asset Fund	X				5/23/12		X									
		Schwab ARS RET Smld Ann Cap Inv	X				7/19/12	X										
		Artisan Value Fund - IV	X				7/19/12	X										

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SCHEDULE IV—TRANSACTIONS

Name Richard Alvin Schwab Page 9 of 14

SP, DC, JT		Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
			PURCHASE	SALE	EXCHANGE			A \$1,000 - \$15,000	B \$15,000 - \$50,000	C \$50,000 - \$100,000	D \$100,000 - \$250,000	E \$250,000 - \$500,000	F \$500,000 - \$1,000,000	G \$1,000,000 - \$5,000,000	H \$5,000,000 - \$25,000,000	I \$25,000,000 - \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example:	Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
		Absolute Strategies Fund - I	X				7/19/12	X										
		Absolute Opportunities Fund - I	X				7/19/12	X										
		iShares Russell 2000	X				7/19/12	X										
		SPDR Gold Trust	X				7/19/12	X										
		DWS Intermediate Tax/Int Free Fund	X				7/20/12			X								
		SPDR Gold Trust	X				7/20/12	X										
		Eximore Global Value Fund	X				7/12/12	X										
		USGI Global Real Asset Fund	X				9/20/12	X										
		Hartford Global Real Asset Fund	X				9/20/12	X										
		SPDR Gold Trust	X				9/20/12	X										
		US Global Natural Resources - Y	X				9/20/12	X										
		DWS Short Term Municipal Bond Fund	X				10/25/12			X								
		DWS Intermediate Tax/Int Free Fund	X				10/25/12		X									
		Invesco Balanced Risk Allocation Fund Y	X				10/31/12	X										
		PIMCO Total Return Fund I	X				10/31/12		X									
		FPA New Income Fund	X				10/31/12	X										

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SCHEDULE IV— TRANSACTIONS

Name Richard Oliver Schwab Page 10 of 14

SP, DC, JT		Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
			PURCHASE	SALE	EXCHANGE			A	B	C	D	E	F	G	H	I	J	K
								\$1,000 - \$15,000	\$15,000 - \$50,000	\$50,000 - \$100,000	\$100,000 - \$250,000	\$250,000 - \$500,000	\$500,000 - \$1,000,000	\$1,000,000 - \$5,000,000	\$5,000,000 - \$25,000,000	\$25,000,000 - \$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Asset)
SP	Example:	Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
		Metropolitan West H/Y Bond -I	X				10/31/12	X										
		SPAC Gold Trust	X				10/31/12	X										
		Templeton Global Bond Fund	X				11/6/12	X										
		Tweedly Brown GLVat II Inv	X				11/30/12	X										
		Wintergreen Fund Inst	X				11/30/12	X										
		Evermore Global Value Fund	X				11/30/12	X										
		First Eagle Global -I	X				11/30/12	X										
		IAA International Fund -I	X				11/30/12	X										
		WCM Focused Int'l Growth	X				11/30/12	X										
		Schwab Emerging Markets Equity ETF		X			1/26/12		X									
		iShares American Natural Resources Sector		X			1/27/12		X									
		DWS International TAX/AMT Free Fund		X			1/31/12		X									
		Egshares SM Consumer ETF		X			2/9/12	X										
		iShares MSCI EAFE		X			2/16/12	X										
		iShares Russell 2000		X			2/16/12	X										
		Vanguard Short-Term Tax-Exempt Bond		X			2/24/12	X										

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SCHEDULE IV—TRANSACTIONS

Name Richard Otis Schwab Page 11 of 14

SP, DC, JT		Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction										
			PURCHASE	SALE	EXCHANGE			A \$1.00 - \$15,000	B \$15,000 - \$50,000	C \$50,000 - \$100,000	D \$100,000 - \$250,000	E \$250,000 - \$500,000	F \$500,000 - \$1,000,000	G \$1,000,000 - \$5,000,000	H \$5,000,000 - \$25,000,000	I \$25,000,000 - \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example:	Mega Corporation Common Stock (partial sale)		X			10-12-12		X									
		DWS Intermediate Tax/Hrt Fund		X			4/24/12	X										
		iShares Russell 2000		X			2/29/12		X									
		iShares MSCI EAFE		X			3/12/12		X									
		iShares Russell 2000		X			3/12/12		X									
		Fidelity Institutional T/E Mngt Fund		X			3/28/12	X										
		USAA Global Resource Fund		X			4/5/12	X										
		RS Global Natural Resource -X		X			5/23/12	X										
		FPA Crescent Fund		X			7/19/12	X										
		Fairholme Fund		X			7/19/12	X										
		First Eagle Global -I		X			7/19/12	X										
		IVA International Fund -I		X			7/19/12	X										
		Defence Portfolio TR Select 20		X			7/19/12	X										
		iShares MSCI EAFE		X			7/19/12	X										
		Fidelity Institutional T/E Mngt Fund		X			7/20/12	X										
		Vanguard Short-Term Tax Exempt Fund		X			7/20/12			X								
		iShares MSCI EAFE		X			9/12/12	X										

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Name	Richard Otwin Schwab	Page	12 of 14
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SCHEDULE V— LIABILITIES

Name Richard Olwin Schwab Page 13 of 14

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless it is rented out or you are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. *This column is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
	<i>Example:</i> First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE	\$10,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000	Spouse/DC Liability Over \$1,000,000*
	Wells Fargo Bank NA	11/2/12	Mortgage, 1024 N. 1st St, 33314				X							
	Bank of America	10/10/12	Credit line, home renovation	X										

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$350 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$140 or less need not be added towards the \$350 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
<i>Example:</i> Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Ethics)	\$375

Use additional sheets if more space is required.

SCHEDULE VIII—POSITIONS

Name <i>Richard Oliver Schwab</i>	Page <i>14</i> of <i>14</i>
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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
<i>Single Member/Managing Member</i>	<i>Chertwell Associates LLC</i>

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

GPO: 2012 72-583 (mnc)

HAND DELIVERED

Richard Oliver Schwab

Alexandria, VA 22314

LEGISLATIVE RESOURCE CENTER

2013 OCT 17 PM 1:11

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Office of the Clerk
U.S. House of Representatives
H154, The Capitol
Washington, DC 20515

CBO ✓

October 17, 2013

To whom it may concern:

Please note that on my 2012 Financial Disclosure for the employing office of Congressman David Schweikert, I have made an error.

On the asset line item for the AXA Equitable life insurance policy, the benefit should read \$400,000.00 (four hundred thousand dollars).

Thank you,
ROS

Richard Oliver Schwab

SCHEDULE III—ASSETS AND “UNEARNED” INCOME

Name

Page 2 of 4

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction		
Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in “unearned” income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.		Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be “None.”												Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the “Tax-Deferred” column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check “None” if the asset generated no income during the reporting period.							For assets for which you checked “Tax-Deferred” in Block C, you may check the “None” column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check “None” if no income was earned or generated.											Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.		
		A	B	C	D	E	F	G	H	I	J	K	L																					
		None	\$1 – \$1,000	\$1,001 – \$15,000	\$15,001 – \$50,000	\$50,001 – \$100,000	\$100,001 – \$250,000	\$250,001 – \$500,000	\$500,001 – \$1,000,000	\$1,000,001 – \$5,000,000	\$5,000,001 – \$25,000,000	\$25,000,001 – \$50,000,000	Over \$50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.
SP	Mega Corp. Stock				X									X			X			Royalties					X								S (partial)	
DC, Examples:	Simon & Schuster				Indefinite																													
JT	1st Bank of Paducah, KY Accounts					X									X												X							
	THREAT 6 FUND, GOVT SECURITIES			X																														
	THREAT F FUND, FIXED INCOME			X																														
	THREAT C FUND, COMMON			X																														
	THREAT S FUND, SMALL CAP			X																														
	THREAT I FUND, INTERNATIONAL			X																														
	AS. THREAT 7 YR.	X													X											X								

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND “UNEARNED” INCOME

Continuation Sheet (if needed)

Name

Page 3 of 4

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income								BLOCK D Amount of Income											BLOCK E Transaction
		A	B	C	D	E	F	G	H	I	J	K	L									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	P, S, M
		None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	
	LOOMIS SAYLES FUND - I		X													X						X											
	DWS INTERMEDIATE TAX FUND		X											X								X											
	DWS MANAGED MUNICIPAL - IN		X											X								X											
	FIDELITY INTERNATIONAL MANAGED		X											X								X											
	FIDELITY STARVEST TAX FUND		X											X								X											
	DWS SHORT TERM MUNICIPAL		X											X								X											
	BLACKSTONE GROUP LP/STRE		X											X									X										
	ISHARES RUSSEL 2000		X											X								X											
	ISHARES MSCI ACWI EXCL		X											X								X											
	FIRST EDGE GLOBAL - I		X											X								X											
	IWA INTERNATIONAL FUND - I		X											X								X											
	ISHARES MSCI EAFE		X											X								X											
	TWENTY GROWTH & VALUE FUND		X											X								X											
	VANGUARD EMERGING MARKET		X											X								X											
	ESTIMOTE GEN CONTAINER ETF		X											X								X											
	FIDELITY FLEXIBLE HIGH INCOME		X											X									X										
	DRENNAN'S ACTIVE INCOME FUND		X											X								X											
	ABSOLUTE STRATEGIES - I		X											X									X										
	ABSOLUTE OPPORTUNITIES - I		X											X									X										
	OSKI GLOBAL RESEARCH		X											X								X											
	PS GLOBAL NAT RESEARCH - Y		X											X								X											

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Name	Page <u>4</u> of <u>4</u>
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LEGISLATIVE RESOURCE CENTER

2014 APR 29 PM 4:57

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES 2013 FINANCIAL DISCLOSURE STATEMENT		Form A For Use by Members, Officers, and Employees	
Name: <u>Richard Oliver Schwab</u> Daytime Telephone: <u>[REDACTED]</u>			
FILER STATUS	<input type="checkbox"/> Member of or Candidate for U.S. House of Representatives State: _____ District: _____	<input type="checkbox"/> Officer or Employee	Employing Office: _____
REPORT TYPE	<input type="checkbox"/> 2013 Annual (Due: May 15, 2014)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination Date: _____

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Make more than \$200 in unearned income from any reportable asset during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$350 in value from a single source during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$350 in value from a single source during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

IPO, EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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Use additional sheets if more space is required.

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Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name:

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SP, DC, JT		Asset	Type of Transaction	Date	Amount of Transaction						
			Sale	Exchange	Check Box if Capital Gain Excluded EEO	(MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	B \$15,001-\$50,000	D \$50,001-\$250,000	F \$250,001-\$500,000	H \$500,001-\$1,000,000	J Over \$1,000,000
gp	Example	Mega Corp. Stock			X	3/5/13	X				
		ETFG Physical Precious Metals Basket				12/11/2013					
		SPDR Gold Trust	X			12/11/2013					
		Fidelity Fund				12/31/2013					
		Fidelity Floating Rate High Income				1/31/2013					
		America Total Return Fund - Inst				1/31/2013	X				
		Logan's Bay International - Dividend				1/31/2013					
		Fidelity Fund				1/31/2013					
		Delaware Pooled TR Select 20				1/31/2013					
		FPA Crescent Fund				1/31/2013					
		FPA New Income Fund				1/31/2013					
		Deichman's Active Income Fund				1/31/2013					
		Artisan Value Fund - IV				1/31/2013					
		Investco Balanced Risk Allocation Fund Y				1/31/2013					
		Defiance Dividend Income Fund - I				1/31/2013					
		Amplify Total Return Fund - I				1/31/2013					
		Metropolitan West HY Bond - I				1/31/2013					
		Equinox EM Composite ETF				1/31/2013					
		Vanguard FTSE Emerging Markets ETF				1/31/2013					
		Iskcon Resale 2000				1/31/2013					

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name:

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction		Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR or Quarterly, Monthly, or Bi-weekly, if applicable)	Amount of Transaction							
		Buy	Exchange			B	C	D	E	F	G	H	I
						\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	Over \$25,000,000
SP	Example Mega Corp. Stock			X	3/5/13	X							
	Spdr Gold Trust	X			1/31/2013	X							
	Investor Balanced Risk Community - Y	X			2/15/2013	X							
	AS Global Natural Resource - Y	X			2/15/2013	X							
	Pinnis Total Return Fund - Int	X			4/18/2013	X							
	Templeton Global Bond Fund	X			4/18/2013	X							
	AS Global Natural Resource - Y	X			4/30/2013	X							
	Templeton Global Bond Fund	X			4/30/2013	X							
	Wells International Fund - I	X			4/30/2013	X							
	Loomis Sayles Intermediate Duration Fund - S	X			4/30/2013	X							
	Fidelity Fund	X			4/30/2013	X							
	Tweedy Brown for Val II Fund	X			4/30/2013	X							
	FPA Crescent Fund	X			4/30/13	X							
	Delaware Pooled FD Short 20	X			4/30/13	X							
	Wells Funder Intl Growth	X			4/30/13	X							
	Artisan Value Fund	X			4/30/13	X							
	Hartford Global Real Asset Fund	X			4/30/13	X							
	Windsor Fund Int	X			4/30/13	X							
	Dreyfus Total Return Fund I	X			4/30/13	X							
	Delaware Diversified Income - E	X			4/30/13	X							

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: _____

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children; or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

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* Column K is for assets solely held by your spouse or dependent child.

SP, DG, JT	Asset	Type of Transaction		Check Box if Capital Gain Exceeded \$200	Date	Amount of Transaction							
		Partial Sale	Exchange			B \$15,001- \$50,000	D \$50,001- \$250,000	F \$250,001- \$500,000	H \$500,001- \$1,000,000	J Over \$1,000,000	K Over \$50,000,000		
SP	Example Mega Corp. Stock			X	3/5/13	X							
	Exchanges ERM Consumer ETF	X			9/30/13								
	iShares Russell 3000	X			9/30/13								
	Vanguard FTSE Emerging Markets	X			9/30/13								
	Matthew Asia Dividend Inst	X			6/14/13								
	Tweedy Browne Gr Vol II - Core	X			7/1/13	X							
	IVR International Fund - I	X			7/1/13	X							
	Templeton Global Bond Fund	X			7/1/13								
	AG Global Nat'l - Y	X			7/1/13	X							
	Delaware Pooled TR Select 20	X			7/1/13								
	FPA Crescent Fund	X			7/1/13	X							
	Delaware Total Return Bond Fund - I	X			7/1/13								
	Fairholme Fund	X			7/1/13								
	Delaware Diversified Income - I	X			7/1/13								
	Artisan Value Fund - IV	X			7/1/13	X							
	Amfund Global Real Asset Fund	X			7/1/13	X							
	T Rowe Price Inst. Floating Rate	X			7/1/13	X							
	Exchanges ERM Consumer ETF	X			7/10/13								
	FPA Crescent Fund	X			7/10/13								
	Delaware Pooled TR Select 20	X			7/10/13								

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

GP, DC, JT		Asset	Type of Transaction		Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction								
GP	Example		Sale	Exchange			A	B	C	D	E	F	G	H	I
							\$1,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000
		Mega Corp. Stock			X	3/5/13	X								
		Artisan Value Fund - I	X			7/11/13	X								
		Artisan Global Real Asset Fund	X			7/11/13	X								
		Dreyfus Total Return Bond Fund - I	X			7/11/13	X								
		RS Global Natural Resources - I	X			7/11/13	X								
		Templeton Global Bond Fund	X			7/11/13	X								
		UAK International Fund - I	X			7/11/13	X								
		Tuesday Brown Global II Cur	X			7/11/13	X								
		Fairholme Fund	X			7/11/13	X								
		Thorn Ridge Inst Floating Rate Fund	X			7/11/13	X								
		Dreyfus International Tax/Inst Free Fund	X			8/12/13	X								
		Thorn Ridge Inst Floating Rate Fund	X			10/3/13	X								
		Templeton Global Bond Fund	X			10/3/13	X								
		Dreyfus Total Return Bond Fund - I	X			10/3/13	X								
		Tamworth Balanced - Risk Allocation Fund	X			11/2/13	X								
		Natixie Harris Single Core Bond	X			11/30/13	X								
		Matthew's Asian Dividend Inst	X			11/30/13	X								
		Thorn Ridge Inst Floating Rate Fund	X			11/30/13	X								
		The OTC/OTC Strategic Income Fd	X			11/30/13	X								
		Dreyfus International Tax/Inst Free Fund	X			11/30/13	X								

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: _____

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent child, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction		Check box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-monthly, if applicable	Amount of Transaction							
		Partial	Exchange			B \$1,001-\$5,000	D \$5,001-\$25,000	F \$25,001-\$50,000	H \$50,001-\$100,000	J \$100,001-\$250,000	K Over \$250,000		
SP	Example Mega Corp. Stock			X	3/5/13	X							
	DWS Short Term Muni Bond Fund	X			10/30/13	X							
	Fidelity Select Sector SPDR	X			10/30/13	X							
	iShares Russell 3000	X			10/30/13	X							
	iShares MSCI EAFE	X			10/30/13	X							
	Vanguard Real Estate	X			11/20/13	X							
	Fidelity S&P 500 Index	X			11/20/13	X							
	Fidelity International	X			11/20/13	X							
	Fidelity Divd Growth	X			12/1/13	X							
	Fidelity Mid-Cap	X			12/1/13	X							
	Fidelity Small-Cap	X			12/1/13	X							
	Fidelity Energy	X			12/1/13	X							
	Fidelity Healthcare	X			12/1/13	X							
	Fidelity Technology	X			12/1/13	X							
	Fidelity Financial	X			12/1/13	X							
	Fidelity Real Estate	X			12/1/13	X							
	Fidelity Global	X			12/1/13	X							
	Fidelity Emerging Markets	X			12/1/13	X							
	Fidelity International	X			12/1/13	X							
	Fidelity Divd Growth	X			12/1/13	X							
	Fidelity Mid-Cap	X			12/1/13	X							
	Fidelity Small-Cap	X			12/1/13	X							
	Fidelity Energy	X			12/1/13	X							
	Fidelity Healthcare	X			12/1/13	X							
	Fidelity Technology	X			12/1/13	X							
	Fidelity Financial	X			12/1/13	X							
	Fidelity Real Estate	X			12/1/13	X							

Use additional sheets if more space is required.

SCHEDULE B – TRANSACTIONS

Name: _____

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SP, DC, JT			Asset	Type of Transaction		Date	Amount of Transaction												
				1	2		3	4	5	6	7	8	9	10	11	12			
SP	Example		Mega Corp. Stock			X	3/5/13	X											
			Absolute Strategies Fund - I	X			1/21/13												
			Shares MSF Gate	X			1/21/13												
			Amtrack Global Real Asset Fund	X			2/15/13												
			MSF Global Returns FD-In	X			2/15/13												
			Shredder ABS Net CMO and CDO for	X			4/4/13												
			FPA New Income Fund	X			4/6/13												
			Metropolitan H/Y Bond - Y	X			4/8/13	X											
			Invesco Balanced Risk Correlation Strategy	X			4/20/13	X											
			Evermore Global Value Fund	X			4/20/13												
			MSF Global Returns FD-In	X			4/20/13												
			Frost Eagle Global - I	X			4/20/13												
			DWS International Tax / Int Free Fund	X			4/20/13	X											
			DWS Short-Term Income Bond Fund	X			4/20/13	X											
			Evermore Global Bond Fund	X			5/21/13	X											
			Vanguard FTSE Emerging Market ETF	X			6/14/13	X											
			Primo Total Return Fund - Inst	X			7/1/13	X											
			Dreyfus Active Income Fund	X			7/1/13												
			FPA New Income Inc	X			7/1/13												
			Absolute Strategies Fund - I								X								

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: _____

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

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* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT		Asset	Type of Transaction			Check Box if Capital Gain Exceeded \$200	Date	Amount of Transaction									
SP	DC, JT		I	J	K			A	B	C	D	E	F	G	H	I	J
		Example Mega Corp. Stock				X	3/5/13	X									
		Shares Russell 3000		X			7/1/13	X									
		Fidelity Floating Rate High Income		X			7/1/13	X									
		Spdr Gold Trust		X			7/1/13	X									
		DWS Intermediate Tax/Am-T Free Fnd		X			7/1/13										
		Absolute Opportunity Fund - I		X			7/1/13										
		DWS Short Term Muni Bond Fnd		X			8/1/13	X									
		Leaning Skyline Intermediate Divd Fnd - I		X			10/3/13	X									
		Artisan Value Fnd - IV		X			10/30/13	X	X								
		Windsongreen Fnd Inst		X			10/30/13	X									
		Huttford Global Real Asset Fnd		X			10/30/13	X									
		Delmar Diversified Income - I		X			10/31/13	X									
		WCM Focused Intl Growth		X			10/30/13	X									
		Defence Rooted TR Select 20		X			10/30/13	X									
		K&M Crescent Fnd		X			10/30/13	X									
		Fairholme Fnd		X			10/30/13	X									
		Paradise Total Return Bond Fnd		X			10/30/13	X									
		Templeton Global Bond Fnd		X			11/30/13	X									
		RG Global Market Reserve - Y		X			10/30/13	X									
		Spdr Gold Trust		X			10/30/13	X									

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: _____

Page 12 of 15

SP, DC, JT		Asset	Type of Transaction		Check Box: Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi-monthly, if applicable	Amount of Transaction									
			1	2			3	4	5	6	7	8	9	10	11	12
							\$1,001-\$5,000	\$5,001-\$10,000	\$10,001-\$25,000	\$25,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	Over \$1,000,000	
SP	Example	Meigs Corp. Stock			X	3/5/13	X									
		VIA International Fund - I	X			10/20/12	X									
		Vanguard FTSE Emerging Mkts ETF		X		10/20/12	X									
		Loomis Seayles International Bond Fund - I		X		10/20/12	X									
		Tweedy Browne Global Val II - Fund		X		10/20/12	X									
		Pimco Total Return Fund - Inst		X		10/20/12	X									
		Thornburg Balanced Risk Community		X		10/20/12	X									
		SPDR Gold Trust		X		12/1/12	X									
		Loomis Seayles International Bond Fund		X		4/28/12	X									
		Dreyfus Total Return Bond Fund		X		7/1/12	X									
		Tenpenny Global Bond Fund		X		2/1/12	X									
		Tenpenny Global Bond Fund		X		11/6/12	X									
		Wells Farrow Intl Growth		X		11/6/12	X									
		Artisan Value Fund - IV		X		11/6/12	X									
		U.S. Strategic Strategic Income Fund		X		11/6/12	X									
		Matthews Asian Dividend Inst		X		11/6/12	X									
		iShares MSCI EAFE		X		11/6/12	X									
		iShares Russell 2000		X		11/6/12	X									
		Absolute Strategies Fund - I		X		5/3/12	X									
		Loomis Seayles International Bond Fund - I		X		5/2/12	X									

Use additional sheets if more space is required.

Name: _____

Page 13 of 15

Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Exclude transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.						Type of Transaction		Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YYYY) or Quarterly, Monthly, or Bi-monthly, as applicable	Amount of Transaction									
			K	Sale	Exchange	B \$1-\$999,999	D \$1,000,000-\$249,999			F \$250,000-\$499,999	H \$500,000-\$999,999	J \$1,000,000-\$249,999	L \$250,000-\$499,999	N \$500,000-\$999,999	P Over \$1,000,000				
* Column K is for assets solely held by your spouse or dependent child.	SP, DC, JT	Asset																	
sp	Example	Mega Corp. Stock				X			3/6/13	X									
	Absolute Opportunities Fund - I		X						5/3/13										
	Blackstone Group LP / LPA		X						5/3/13										
	iShares MSCI EAFE		X						7/1/13										
	Absolute Strategies Fund - I		X						7/1/13										
	Renaissance Total Return Fund - Inst		X						11/6/13										
	VIA International Fund - I		X						11/6/13										
	RS Global Natural Resources - Y		X						11/6/13										
	EFA Growth Fund		X						11/6/13										
	Tweedy Browne GL Vol II Cnt		X						11/6/13										

Use additional sheets if more space is required.

Name: _____ Page 14 of 15

INCOME LIMITS AND PROHIBITED INCOME: The 2013 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$26,955. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

[illegible]

17-4789_0047

SCHEDULE D – LIABILITIES

Name: _____

Page 15 of 15

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability						
				B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H Over \$5,000,000
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE			X				
	Wells Fargo Bank, NA	11/24/12	Home Mortgage							

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions listed in Schedule C; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Co-Chair / Alumni Development Society	Georgetown College, Faison, MD

Use additional sheets if more space is required.

120426

U.S. HOUSE OF REPRESENTATIVES
COMMITTEE ON ETHICS

RECEIVED

FINANCIAL DISCLOSURE 2013 NOV 15 AM 10:02
GIFT DISCLOSURE WAIVER REQUEST

COMMITTEE ON ETHICS

This form should be used by any House Member, officer, or employee who is seeking a waiver of the reporting requirements under the Ethics in Government Act for gifts the individual received in connection with a wedding or engagement, the birth or adoption of a child, or a death in the family (5 U.S.C. app. 4 § 102(a)(2)(A)). You must have already sought and received from the Committee a waiver of the House gift rule (House Rule 25, clause 5) for any such gifts. Submit this form to the Ethics Committee's Office of Advice and Education in 1015 Longworth HOB. Once approved, this form will be returned to you, and a copy will be filed with the Clerk of the House for public disclosure.

U.S. HOUSE OF REPRESENTATIVES

2013 NOV 20 PM 2:36

LEGISLATIVE RESOURCE CENTER

Name of Requester: Richard Oliver Schwab Date: November 14, 2013

Signature of Requester: [Signature]

Employing Member/Committee: Rep. David Schweikert (AZ-06)

Office Address: 1205 Longworth

Telephone: [Redacted]

Date gift rule waiver granted: November 15, 2013

Occasion for which a gift rule waiver is requested (check one):

☒ Wedding/engagement ☐ Birth/adoption of child ☐ Death in family

Date (or anticipated date) of occasion: June 6, 2015

Request Approved pursuant to 5 U.S.C. app. 4 § 102(a)(2)(C):

Date: November 15, 2013

K. Michael Conaway

K. Michael Conaway, Chairman

Linda T. Sanchez

Linda T. Sanchez, Ranking Member

Copy to: Clerk of the House
Legislative Resource Center
B-106 Cannon House Office Building
ATTENTION: Janice Glosson

**HAND
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Page 1 of 2

LEGISLATIVE RESOURCE CENTER

2015 AUG 11 PM 02
(Office use only)

U.S. HOUSE OF REPRESENTATIVES

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

UNITED STATES HOUSE OF REPRESENTATIVES 2015 FINANCIAL DISCLOSURE STATEMENT		Form A For Use by Members, Officers, and Employees	
Name: <u>Richard Oliver Schwab</u> Daytime Telephone: <u>[REDACTED]</u>			
FILER STATUS <input type="checkbox"/> Member of or Candidate for U.S. House of Representatives <input checked="" type="checkbox"/> Officer or Employee	State: _____ District: _____	Employing Office: <u>Rep. David Schwabert</u>	
REPORT TYPE <input checked="" type="checkbox"/> 2015 Annual (Due: May 16, 2016) <u>(90 day extension)</u>	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination Date of Termination: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Make more than \$200 in unearned income from any reportable asset during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance. Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Richard Oliver Schuler

Page 2 of 7

BLOCK A Asset and/or Income Source		BLOCK B Value of Asset													BLOCK C Type of Income										BLOCK D Amount of Income												BLOCK E Transaction
Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use only ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts. For rental and other real property held for investment, provide a complete address or description, e.g., "rental property," and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you have a privately-traded fund that is an Excepted Investment Fund, please check the "EIF" box. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left. For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.		Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting period and is included only because it generated income, the value should be "None." *Column M is for assets held by your spouse or dependent child in which you have no interest.													Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the "Tax-Deferred" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if the asset generated no income during the reporting period.										For assets for which you checked "Tax-Deferred" in Block C, you may check the "None" column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check "None" if no income was earned or generated. *Column XII is for assets held by your spouse or dependent child in which you have no interest.												Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period. If only a portion of an asset was sold, please indicate as follows: (S part).
		A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	INTEREST	CAPITAL GAINS	EXCEPTED INVESTMENT FUND	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII				
SP																																					
DC																																					
JT																																					
	SP	Mege Corp. Stock				X																												Sign			
	Examples:	Simon & Schuster																																			
		ABC Hedge Fund																																			
		Pharmaceuticals Inc. 10% Stock																																			
		Iskcon Corp. Bond																																			
		Alison Shultz T-fo-AdV																																			
		Drinking Water Action																																			
		Engelhorn T-fo-AdV																																			
		Iskcon Corp. 10% Stock																																			

Use additional sheets if more space is required.

Name: Richard Oliver Sauer Page 3 of 7

[illegible]

17-4789_0052

SCHEDULE B – TRANSACTIONS

Name: _____

Richard Oliver Schuck

Page 4 of 7

[illegible]

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

Name: Michael Oliver Schweb

Page 5 of 7

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2015 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,225. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) were totally prohibited.

[illegible]

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: Richard Oliver Schwab Page 6 of 7

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
	Example First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
	Wells Fargo Bank	2017	Mortgage Primary Residence					X						

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	The Chertwell Organization, LLC
Managing Member	Britham Management, LLC
Trustee & Treasurer	The Casey Family Foundation
Trustee	Proctor Academy
Agent	3 Rainbow Rd. Disabled Person's Residential Trust
Agent	Jennifer Paul Casey Trust

Use additional sheets if more space is required.

Name: Richard Oliver Schwab Page 7 of 7

Name: Richard Oliver Schwab

Page 3 of 7

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]

Use additional sheets if more space is required.

**HAND
DELIVERED**

Page 1 of 13

UNITED STATES HOUSE OF REPRESENTATIVES 2016 FINANCIAL DISCLOSURE STATEMENT		Form A For Use by Members, Officers, and Employees		LEGISLATIVE RESOURCE CENTER JUL 27 PM 3:40 (Office Use Only) U.S. HOUSE OF REPRESENTATIVES
Name: <u>Richard Otwin Schurp</u>		Daytime Telephone: <u>[REDACTED]</u>		A \$200 penalty shall be assessed against any individual who files more than 30 days late.
FILER STATUS	<input type="checkbox"/> Member of the U.S. House of Representatives State: _____ District: _____	<input type="checkbox"/> Officer or Employee Employing Office: _____ District: _____	Staff Filer Type: (If Applicable) Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>	
REPORT TYPE	<input checked="" type="checkbox"/> 2016 Annual (Due: May 15, 2017)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination Date of Termination: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance. Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Name: Richard David Schwab Page 2 of 13

Use additional sheets if more space is required.

Name: <u>Richard Oliver Schwab</u>	Page <u>3</u> of <u>13</u>
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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction																																																																																																																																																												
		A	B	C	D	E	F	G	H	I	J	K	L	M							I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII																																																																																																																																																													
SP	ASSET NAME	None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	\$50,000,001-\$100,000,000	\$100,000,001-\$1,000,000,000	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other: Type of Income (Specify e.g., Partnership Income or Farm Income)	None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$10,000	\$10,001-\$15,000	\$15,001-\$20,000	\$20,001-\$25,000	\$25,001-\$30,000	\$30,001-\$35,000	\$35,001-\$40,000	\$40,001-\$45,000	\$45,001-\$50,000	\$50,001-\$55,000	\$55,001-\$60,000	\$60,001-\$65,000	\$65,001-\$70,000	\$70,001-\$75,000	\$75,001-\$80,000	\$80,001-\$85,000	\$85,001-\$90,000	\$90,001-\$95,000	\$95,001-\$100,000	\$100,001-\$105,000	\$105,001-\$110,000	\$110,001-\$115,000	\$115,001-\$120,000	\$120,001-\$125,000	\$125,001-\$130,000	\$130,001-\$135,000	\$135,001-\$140,000	\$140,001-\$145,000	\$145,001-\$150,000	\$150,001-\$155,000	\$155,001-\$160,000	\$160,001-\$165,000	\$165,001-\$170,000	\$170,001-\$175,000	\$175,001-\$180,000	\$180,001-\$185,000	\$185,001-\$190,000	\$190,001-\$195,000	\$195,001-\$200,000	\$200,001-\$205,000	\$205,001-\$210,000	\$210,001-\$215,000	\$215,001-\$220,000	\$220,001-\$225,000	\$225,001-\$230,000	\$230,001-\$235,000	\$235,001-\$240,000	\$240,001-\$245,000	\$245,001-\$250,000	\$250,001-\$255,000	\$255,001-\$260,000	\$260,001-\$265,000	\$265,001-\$270,000	\$270,001-\$275,000	\$275,001-\$280,000	\$280,001-\$285,000	\$285,001-\$290,000	\$290,001-\$295,000	\$295,001-\$300,000	\$300,001-\$305,000	\$305,001-\$310,000	\$310,001-\$315,000	\$315,001-\$320,000	\$320,001-\$325,000	\$325,001-\$330,000	\$330,001-\$335,000	\$335,001-\$340,000	\$340,001-\$345,000	\$345,001-\$350,000	\$350,001-\$355,000	\$355,001-\$360,000	\$360,001-\$365,000	\$365,001-\$370,000	\$370,001-\$375,000	\$375,001-\$380,000	\$380,001-\$385,000	\$385,001-\$390,000	\$390,001-\$395,000	\$395,001-\$400,000	\$400,001-\$405,000	\$405,001-\$410,000	\$410,001-\$415,000	\$415,001-\$420,000	\$420,001-\$425,000	\$425,001-\$430,000	\$430,001-\$435,000	\$435,001-\$440,000	\$440,001-\$445,000	\$445,001-\$450,000	\$450,001-\$455,000	\$455,001-\$460,000	\$460,001-\$465,000	\$465,001-\$470,000	\$470,001-\$475,000	\$475,001-\$480,000	\$480,001-\$485,000	\$485,001-\$490,000	\$490,001-\$495,000	\$495,001-\$500,000	\$500,001-\$505,000	\$505,001-\$510,000	\$510,001-\$515,000	\$515,001-\$520,000	\$520,001-\$525,000	\$525,001-\$530,000	\$530,001-\$535,000	\$535,001-\$540,000	\$540,001-\$545,000	\$545,001-\$550,000	\$550,001-\$555,000	\$555,001-\$560,000	\$560,001-\$565,000	\$565,001-\$570,000	\$570,001-\$575,000	\$575,001-\$580,000	\$580,001-\$585,000	\$585,001-\$590,000	\$590,001-\$595,000	\$595,001-\$600,000	\$600,001-\$605,000	\$605,001-\$610,000	\$610,001-\$615,000	\$615,001-\$620,000	\$620,001-\$625,000	\$625,001-\$630,000	\$630,001-\$635,000	\$635,001-\$640,000	\$640,001-\$645,000	\$645,001-\$650,000	\$650,001-\$655,000	\$655,001-\$660,000	\$660,001-\$665,000	\$665,001-\$670,000	\$670,001-\$675,000	\$675,001-\$680,000	\$680,001-\$685,000	\$685,001-\$690,000	\$690,001-\$695,000	\$695,001-\$700,000	\$700,001-\$705,000	\$705,001-\$710,000	\$710,001-\$715,000	\$715,001-\$720,000	\$720,001-\$725,000	\$725,001-\$730,000	\$730,001-\$735,000	\$735,001-\$740,000	\$740,001-\$745,000	\$745,001-\$750,000	\$750,001-\$755,000	\$755,001-\$760,000	\$760,001-\$765,000	\$765,001-\$770,000	\$770,001-\$775,000	\$775,001-\$780,000	\$780,001-\$785,000	\$785,001-\$790,000	\$790,001-\$795,000	\$795,001-\$800,000	\$800,001-\$805,000	\$805,001-\$810,000	\$810,001-\$815,000

17-4789_0059



STATE STREET

Account Summary

December 1, 2016 - December 31, 2016
Page 2 of 6

Asset Summary

Beginning Asset Value As of 12/31/15	Asset Value	Percent of Assets
	\$25,132.37	100.00%
Cash and Equivalents	0.00	0.00
Government and Agency Bonds	0.00	0.00
Corporate Bonds	0.00	0.00
Other Fixed Income	0.00	0.00
Equities	0.00	0.00
Foreign Assets	0.00	0.00
Other Assets	0.00	0.00
Ending Asset Value As of 12/31/16	\$25,132.37	100.00%

Income Summary

	Period	Year To Date	Est. Annual	Account
Cash and Equivalents	\$0.11	\$187.83	\$15.08	\$25,522.88
Government and Agency Bonds	0.00	0.00	0.00	0.11
Corporate Bonds	0.00	0.00	0.00	0.40
Other Fixed Income	0.40	1,323.87	0.00	0.00
Equities	0.00	\$79.44	0.00	0.00
Foreign Assets	0.00	0.00	0.00	0.00
Other Assets	0.00	0.00	0.00	0.00
Total Income	\$0.51	\$2,097.14	\$15.08	0.51
				0.00
				0.00
				1,162.00
				1,162.00
				\$25,132.37

Account Activity Summary

	Beginning Balance As of 12/31/15	Account
Receivables	0.00	\$25,522.88
Money Market Income	0.00	0.11
Dividends	0.00	0.40
Interest	0.00	0.00
Sales and Maturities	0.00	0.00
Transfers	0.00	0.00
Cash Transfers	0.00	0.00
Total Receipts	0.00	0.51
Disbursements		
Purchases		0.00
Transfers		0.00
Cash Disbursements		1,162.00
Total Disbursements		1,162.00
Ending Balance As of 12/31/16		\$25,132.37

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Oliver Schwab



STATE STREET

Account Holdings
As of December 31, 2016
Page 3 of 6

Shared Units Description	Asset Identifier	Tax Cost	Current Price	Market Value	Percent of Total Assets	Estimated	
						Annual Income	Current Yield
Cash and Equivalents		0.00		\$1.00			
Cash Balance							
Cash Holdings							
24,153.37	CHMAGC008	\$25,153.37	1.000	\$25,153.37	100.00%	\$64.08	0.25%
Total Cash and Equivalents		\$25,153.37		\$25,153.37	100.00%	\$64.08	
Total Account Holdings		\$25,153.37		\$25,153.37	100.00%	\$64.08	

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Oliver Schwab



STATE STREET

Asset Summary

Beginning Asset Value As of 12/31/15	Asset Value	Percent of Assets
	\$74,964.40	100.00%
Cash and Equivalents	0.00	0.00
Government and Agency Bonds	0.00	0.00
Corporate Bonds	0.00	0.00
Other Fixed Income	0.00	0.00
Equities	0.04	0.00
Foreign Assets	0.00	0.00
Other Assets	0.00	0.00
Ending Asset Value As of 12/31/16	\$74,964.43	100.00%

Income Summary

Statement Period	Year To Date	Est. Annual Income	Beginning Balance As of 12/31/15	Amount
12/31	\$985.74	\$44.98		\$74,963.31
Cash and Equivalents	0.00	0.00	Money Market Income	0.43
Government and Agency Bonds	0.00	0.00	Dividends	0.55
Corporate Bonds	0.00	0.00	Interest	0.00
Other Fixed Income	2,841.21	0.00	Sales and Matureties	0.00
Equities	1,838.48	0.00	Transfers	0.00
Foreign Assets	0.00	0.00	Cash Receipts	0.00
Other Assets	0.00	0.00	Total Receipts	0.98
Total Income	\$8,343.41	\$44.98	Disbursements	0.00
			Payments	0.00
			Transfers	0.00
			Cash Disbursements	0.00
			Total Disbursements	0.00
			Ending Balance As of 12/31/16	\$74,964.46

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Oliver Schwab



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Oliver Schwab

17-4789_0064

Account Activity
December 1, 2016 - December 31, 2016
Page 4 of 6

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Oliver Schwab

Accounting Period	Date	Activity	Description	Commodities	Cash Amount
		Beginning Cash Value			\$0.00
		Income	INTERCOM-TEAM MGMT. FIDELITY AMFUND 100 PS		\$0.00
		Income	INTERCOM-TEAM MGMT. FIDELITY AMFUND 100 PS		\$0.00
	12/01/78	Income	INTERCOM-TEAM MGMT. FIDELITY AMFUND 100 PS		\$0.00
	12/01/78	Income	INTERCOM-TEAM MGMT. FIDELITY AMFUND 100 PS		\$0.00
	12/01/78	Income	INTERCOM-TEAM MGMT. FIDELITY AMFUND 100 PS		\$0.00
		Net No Trades	INTERCOM-TEAM MGMT. FIDELITY AMFUND 100 PS		\$0.00
			TOTAL NET HUNTER MARKET TRANSACTIONS		\$0.00
		Ending Cash Value			\$0.00

Name: Richard Oliver Schwab Page 10 of 13

[illegible]

17-4789_0066

Name: Richard Otter Schnab Page 11 of 13

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS AND PROHIBITED INCOME: The 2016 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,485. The 2017 limit is \$27,765. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

[illegible]

17-4789_0067

SCHEDULE D - LIABILITIES

Name: Richard Oliver Schwab Page 12 of 13

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP DC JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/08	Mortgage on Rental Property, Dover, DE				X							
	Wells Fargo Bank		primary residence mortgage					X						

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	Chartwell Partners LLC
Managing Member	Pinkham Management LLC
Trustee and Treasurer	The Cacey Family Foundation
Trustee	Proctor Academy

Use additional sheets if more space is required.

Name: Richard Oliver Schwab Page 13 of 13

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]

17-4789_0069

**HAND
DELIVERED**

Page 1 of 6

UNITED STATES HOUSE OF REPRESENTATIVES 2016 FINANCIAL DISCLOSURE STATEMENT		Form A For Use by Members, Officers, and Employees	
Name: <u>Richard Oliver Schwab</u>		Daytime Telephone: <u>[REDACTED]</u>	
FILER STATUS: <input type="checkbox"/> Member of the U.S. House of Representatives		Officer or Employee: <input checked="" type="checkbox"/> Officer or Employee	
State: _____ District: _____		Employing Office: <u>Rep. David Schwab</u>	
REPORT TYPE: <input type="checkbox"/> 2016 Annual (Due: May 15, 2017)		Termination: <input type="checkbox"/> Termination	
<input checked="" type="checkbox"/> Amendment		Date of Termination: _____	

LEGISLATIVE RESOURCE CENTER:
17 OCT 26 PM 12:21
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
A \$200 penalty shall be assessed against any individual who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO – Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION – Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE A – ASSETS & “UNEARNED INCOME”

Name: Richard Otis Schwab

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income										BLOCK D Amount of Income												BLOCK E Transaction
Identify (a) each asset held for investment or production of income and with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income that generated more than \$200 in “unearned” income during the year. Provide complete names of stocks and mutual funds (do not use only ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) provide the value for each asset held in the account that exceeds the reporting thresholds. For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts. For rental and other real property held for investment, provide a complete address or description, e.g., “rental property,” and a city and state. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you have a privately-traded fund that is an Excepted Investment Fund, please check the “EIF” box. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT), in the optional column on the far left. For a detailed discussion of Schedule A requirements, please refer to the instruction booklet.		Indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold during the reporting period and is included only because it generated income, the value should be “None.” *Column M is for assets held by your spouse or dependent child in which you have no interest.													Check all columns that apply. For accounts that generate tax-deferred income (such as 401(k), IRA, or 529 accounts), you may check the “Tax-Deferred” column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check “None” if the asset generated no income during the reporting period.										For assets for which you checked “Tax-Deferred” in Block C, you may check the “None” column. For all other assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts. Check “None” if no income was earned or generated. *Column XII is for assets held by your spouse or dependent child in which you have no interest.												Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in the reporting period. If only a portion of an asset was sold, please indicate as follows: (S (part)). Leave this column blank if there are no transactions that exceeded \$1,000.
		A	B	C	D	E	F	G	H	I	J	K	L	M	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED INVESTMENT FUND	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII			
SP, DC, JT	SP	None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset Over \$1,000,000*	None								None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income Over \$1,000,000*	P, S, S(part), or E		
	Example: Mega Corp. Stock					X										X																					
	Simon & Schuster																								X												
	ABC Hedge Fund										X																X										
	3 Rainbow RJ Asset Mgmt																																				
	Marblehead, MA										X																										
	HWLP LLC																																				
	(dissolved in 2016)		X																																		

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Richard Oliver Schnat Page 3 of 9

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction					
		A	B	C	D	E	F	G	H	I	J	K	L	M									I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII					
None		\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	\$50,000,001-\$100,000,000	Over \$100,000,000	Specified Asset with Income over \$1,000,000*	None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED AND TRUST	1644-0575 (RUCED)	Other Type of Income (Specify, e.g., Partnership Income or Farm Income)	None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$10,000	\$10,001-\$25,000	\$25,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Specified Asset with Income over \$1,000,000*				
SP	ASSET NAME																																						
JT																																							
	Arkham Management LLC																																						
	1/3 ownership																																						
	- 701 Pennsylvania Avenue																																						
	APR, Chicago, IL																	X																					
	- Potomac Brothers LLC																																						
	NOTE																																						
	- JW Development LLC																																						
	NOTE																																						
	- H Sp. Creek Land Co. LLC																																						
	Annapolis, MD																																						
	- H29 LLC																																						
	Manassas, VA																																						
	- G. H. H. S. LLC																																						
	Salmon, WA																																						
	- Tiger Lily																																						
	Salmon, WA																																						

Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: Richard Olvin Schwab Page 4 of 9

BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income	BLOCK D Amount of Income												BLOCK E Transaction
		A	B	C	D	E	F	G	H	I	J	K	L	M		I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	
		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	None	None	\$1-\$250	\$251-\$500	\$501-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$10,000	\$10,001-\$15,000	\$15,001-\$25,000	\$25,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	Over \$250,000	
ASSET NAME		None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	None	\$1-\$250	\$251-\$500	\$501-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$10,000	\$10,001-\$15,000	\$15,001-\$25,000	\$25,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	Over \$250,000		
PR	Prigman Management																											
	Checking Account				X																							
	Prigman Management																											
	Savings Account									X																		

Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: Richard Oliver Schwab Page 5 of 8

SP, DC, JT		Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
			Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (If payment is foreign)
SP	Example	Mega Corp. Stock			X		X	3/9/16		X									
		Aikman Management LLC																	
		14 Spa Green Landing B2																	
		Annapolis, MD																	
		1789 Old Mammoth Rd																	
		Mammoth Lakes, CA																	
		6 Nuytes Rd.																	
		Salem, MA																	
		HWP LLC - Dissolved interest																	
		in any future revenue																	

Use additional sheets if more space is required.

Name: Richard Olney Schnabel Page 6 of 8

Name: Richard Olney Schwab

Page 6 of 5

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS AND PROHIBITED INCOME: The 2018 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,495. The 2017 limit is \$27,765. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

[illegible]

Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: Richard Oliver Schwab

Page 7 of 8

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000 (Reported DC Liability)
Example	First Bank of Wilmington, DE	5/08	Mortgage on Rental Property, Dover, DE				X							

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	Chesapeake Partners LLC
Managing Member	Pinkham Management LLC (uncompensated; any/all income is rental/interest)
Trustee and Treasurer	The Crazy Family Foundation (uncompensated)
Trustee	Proctor Academy (uncompensated)

Use additional sheets if more space is required.

Name: Richard Oliver Schwab Page 8 of 8

Name: Richard Oliver Schwab

Page 2 of 2

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES 2016 FINANCIAL DISCLOSURE STATEMENT		Form A For Use by Members, Officers, and Employees	
Name: <u>Richard Oliver Schwab</u> Daytime Telephone: [REDACTED]			
FILER STATUS	<input type="checkbox"/> Member of the U.S. House of Representatives	State: _____ District: _____	<input checked="" type="checkbox"/> Officer or Employee Employing Office: <u>Rep. Richard Schwab</u>
REPORT TYPE	<input type="checkbox"/> 2016 Annual (Due: May 15, 2017)	<input checked="" type="checkbox"/> Amendment <u>2015 Annual Disclosure</u>	<input type="checkbox"/> Termination Date of Termination: _____

HAND DELIVERED 1 of 7
 LEGISLATIVE RESOURCE CENTER
 OCT 30 AM 10:36
 OFFICE OF THE CLERK
 U.S. HOUSE OF REPRESENTATIVES
 A \$200 penalty shall be assessed against any individual who files more than 30 days late.

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

<p>A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <i>or</i> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$375 in value from a single source during the reporting period?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$375 in value from a single source during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>
<p>D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</p>

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Page 2 of 7

Use additional sheets if more space is required.

Name: Richard Oliver Schwab	Page 3 of 7
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Use additional sheets if more space is required.

SCHEDULE B - TRANSACTIONS

Name: Richard Oliver Schwalbe

Page 4 of 7

SP, UC, JT		Asset	Type of Transaction				Check Box (Capital Gain Excluded)	Date	Amount of Transaction											
			Purchase	Sale	Partial Sale	Exchange			A	B	C	D	E	F	G	H	I	J	K	
									\$1,000 - \$1,000	\$1,001 - \$2,000	\$2,001 - \$3,000	\$3,001 - \$4,000	\$4,001 - \$5,000	\$5,001 - \$6,000	\$6,001 - \$7,000	\$7,001 - \$8,000	\$8,001 - \$9,000	\$9,001 - \$10,000	Over \$10,000	Over \$1,000,000 (Report on Schedule D)
sp	Example	Mega Corp. Stock			X		X	3/8/14		X										
		Richard Management LLC																		
		1/3 interest																		
		Martinsburg, MA	X					1/7/17					X							

Use additional sheets if more space is required.

Name: Richard Oliver Schuch Page 5 of 7

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

Source (Include date of receipt for honoraria)		Type	Amount
Examples:	Keene State	Approved Teaching Fee	\$1,650
	State of Maryland	Legislative Pension	\$1,000
	Civil War Roundtable (Oct. 2)	Spouse Speech	\$1,000
	Ontario County Board of Education	Spouse Salary	N/A

[illegible]

17-4789_0082

SCHEDULE D - LIABILITIES

Name: Dr. Hans Oliver Schumb

Page 6 of 7

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$100,000	\$100,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$10,000,000	\$10,000,001-\$50,000,000	\$50,000,001-\$100,000,000	\$100,000,001-\$500,000,000	\$500,000,001-\$1,000,000,000	Over \$1,000,000,000	Over \$1,000,000,000 (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Managing Member	Chattwell Partners LLC
Member	Haus LLC (no income)
Trustee and Treasurer	The Carey Family Foundation (uncompensated)
Trustee	Proctor Academy (uncompensated)
Managing Member	Pinkham Management LLC (uncompensated, any income from rent)

Use additional sheets if more space is required.

Name: Richard Olvin Schmitt Page 7 of 7

EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]

17-4789_0084

EXHIBIT 3

**Transcript of Interview of
Former Deputy Chief of Staff
January 18, 2018**

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

1 Jeff Brown: Okay. This is Jeff Brown. With me is Paul Solis and Brandon Wong from the
2 Office of Congressional Ethics. Before us we have Former Deputy Chief of
3 Staff ("Former Deputy COS"). It is a little after 3:00 on January 18. We are in
4 the conference room at the Office of Congressional Ethics. Former Deputy
5 Chief of Staff has been given a copy of the False Statements Warning, and we
6 are going to get underway.

7 Former Deputy COS: Before we begin, I am Former Deputy Chief of Staff. I give consent for this
8 conversation to be recorded on the condition that I get a copy of the
9 transcription.

10 Jeff Brown: Is Former Deputy Chief of Staff okay, or do you prefer-

11 Former Deputy COS: It's Former Deputy Chief of Staff.

12 Jeff Brown: Former Deputy Chief of Staff, okay. Former Deputy Chief of Staff, you were
13 previously employed by Representative Schweikert. In what capacity were
14 you employed in his office?

15 Former Deputy COS: Started as an LA, became the LD, then the Deputy Chief of Staff.

16 Jeff Brown: Okay. Can you roughly walk me through the timeframes in which you held
17 those different jobs?

18 Former Deputy COS: I came on at the new Congress of 2012 and worked for him until ... No.
19 Beginning of 2011 and worked for him until January 3 of 2017.

20 Jeff Brown: Okay. Do you recall approximately the dates in which you were promoted
21 through those roles?

22 Former Deputy COS: Promoted to LD, May-June timeframe of 2012. Deputy Chief of Staff,
23 December of 2014.

24 Jeff Brown: Okay. Who did you report to while you were in Representative Schweikert's
25 office?

26 Former Deputy COS: Initially, Matthew Tully as an LA. Then he became Chief of Staff when I
27 became the LD. I continued to report to him until he left. I guess that would
28 be spring of ... I think '13, at which point in time I reported to Oliver Schwab.

29 Jeff Brown: You are no longer in Representative Schweikert's office. Where do you
30 currently work?

31 Former Deputy COS: Consumers' Research.

32 Jeff Brown: Okay. When did you start there?

33 Former Deputy COS: June of this year as a 1099 employee and was hired full-time August, early
34 September of this year.

35 Paul Solis: Do you mean 2017?

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

1 Former Deputy COS: Sorry, 2017. Yes. 2017. Last year.

2 Jeff Brown: Why did you leave Representative Schweikert's office?

3 Former Deputy COS: I felt that the office had become unstable. I had been given assurances that
4 the Chief of Staff was leaving, and when he decided to stay he became
5 somewhat abusive to me and another senior staffer. I was then given the
6 option of staying for a dramatically reduced salary, with my performance
7 being judged on campaign donations or leaving with a six month severance. I
8 chose to leave. However, I never received the severance. At the end of
9 December, I was told that the office would not be honoring that agreement.

10 Jeff Brown: Okay. I'd like to break down some of that. You said there was you and
11 another individual you felt was subject to some abusive behavior. Who is the
12 other staffer?

13 Former Deputy COS: Kevin Knight.

14 Jeff Brown: Okay. When you were referring to the Chief of Staff a moment ago, you were
15 referring to Oliver Schwab.

16 Former Deputy COS: Correct.

17 Paul Solis: Well, first I'd like to ... based on Jeff's initial question about why you left. You
18 said you felt the office had become unstable. Can you just give us a little
19 more detail on what you-

20 Former Deputy COS: Oliver, through two hour-and-a-half sessions over the phone, the first with
21 me and Kevin Knight, the second with just me, basically spent 90 minutes
22 cussing me out and tell me that I was terrible at my job ... after literally 24
23 hours prior saying that I was going to be there forever, and he was leaving,
24 and I was going to be Chief of Staff. He was showing ... this all happened over
25 the course of literally a two week period. He was showing signs of severe
26 stress and anger at the member and was lashing out at me. So, it was
27 basically two hour-and-a-half sessions where he screamed and swore at one
28 with both me and Kevin Knight and one with just me.

29 Paul Solis: Okay. So, he had informed you in some way that he was leaving the office?

30 Former Deputy COS: Yes.

31 Paul Solis: Okay. When did he inform you of that?

32 Former Deputy COS: He had been saying that the entire congress. He had his paperwork in at one
33 point in time.

34 Paul Solis: This is the 114th Congress?

35 Former Deputy COS: Yeah, the 114th. We're in the 15th. At one point in time at 113th, he had
36 paperwork signed to leave, and on his last day decided to stay. Basically

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

1 through the entire year of 2016, he would go through these tirades against
2 the member both to me and ... and sometimes to Keven and I together,
3 sometimes just to me, sometimes just to Kevin ... about how awful David was
4 and how he hated it and how he was going to quit. He basically said, "I'm not
5 coming back." That was basically the whole year of 2016. When he decided
6 to come back after having his two outbursts with me and basically saying,
7 you know, "Here are two options: You can quit with severance or you can
8 come back next year at a reduced title, reduced pay, you'll never see your
9 family, and you're going to be judged on how much money we bring in to
10 Dave, even though you're not the fundraiser, you're going to be the one
11 that's responsible for that top dollar." As the legislative staff, I did not want
12 to come back anymore.

13 Paul Solis: So, that information that was passed to you by Mr. Schwab occurred in two
14 telephone conversations?

15 Former Deputy COS: No, that was face ... the last conversation was ... so after his first round of
16 abuse over the phone with me and Kevin Knight, I just kind of ... he wasn't
17 around, he was out of town.

18 Paul Solis: When did that happen?

19 Former Deputy COS: October of 2016, maybe the end of September. It was literally the day before
20 the NCAI Convention in Phoenix. It was the first phone call. I was basically
21 given instructions to fly out to Phoenix day of, to go to that convention even
22 though previously we had discussed me not going, because of the hour-and-
23 a-half phone call. I went. Things were fine for a week. He ended up getting
24 really frustrated over an even with Kevin McCarthy that was kind of air
25 dropped on us. He spent the next Monday on the phone with me while he
26 was in Phoenix. I was in the office at the time, and the entire staff could hear
27 him screaming over the phone. I had to go to the member's office. After that
28 it was another hour-and-a-half, basically just screaming and cussing and
29 everything is terrible. After that I asked for a meeting. At that meeting he
30 gave me the options to stay at reduced salary, reduced title, and being
31 judged by campaign donations, or to go with six month severance. I chose to
32 go with the six month severance.

33 Paul Solis: Okay, that face to face meeting also happened in October of 2016?

34 Former Deputy COS: Yes.

35 Paul Solis: Were you a campaign staffer, either paid or volunteer at the time?

36 Former Deputy COS: No.

37 Paul Solis: Do you know then why he would say to you that your performance would be
38 based on campaign donations?

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

1 Former Deputy COS: I know that David ... and this was the ongoing theme throughout the year of
2 how he wanted to quit, was David was putting increasing pressure on him to
3 raise money because David wanted to run for the Senate. David was
4 basically telling him, "I need a million dollars if I'm going to run for the
5 Senate." I think that was weighing on him. I do not believe if I had stayed
6 that would have been what happened. That was just what was threatened,
7 and I didn't want to take that chance.

8 Paul Solis: Did he say to you at all whether the member had made the information he
9 passed to you that your performance would be based on campaign
10 contributions, did he say whether that came from Representative
11 Schweikert?

12 Former Deputy COS: Absolutely not, no. He didn't. David had said to me on multiple occasions,
13 "I'm leaning on Oliver to raise money. That's not your responsibility."

14 Paul Solis: Okay.

15 Jeff Brown: Was Representative Schweikert ever made aware of these two October 2016
16 outbursts?

17 Former Deputy COS: No.

18 Jeff Brown: Did you ever have any conversations with Representative Schweikert about
19 your decision to leave?

20 Former Deputy COS: I believe it was relayed to him that I wanted to leave to be a chief. When I
21 had decided to leave, I didn't want to bring it up. I really just wanted to be
22 done with the place. You know, with David and with Oliver. Once I left in
23 November ... I think my last official day in the office was the Monday before
24 Thanksgiving of 2016. After that I never talked to David again.

25 Paul Solis: Just to get the timing straight, you said your last day in the office was
26 November of 2016 ... you said before Thanksgiving? Or after?

27 Former Deputy COS: The Monday before Thanksgiving.

28 Paul Solis: Before Thanksgiving. And when we asked you how long you'd been in the
29 office, you specifically mentioned January 3, 2017?

30 Former Deputy COS: I physically left the office. I was continuing to do work and one of the things
31 that was ... you're going to be basically essentially on contract for the next
32 six months and so I was no longer physically in the office but I was still
33 performing work for the office until January 3rd.

34 Paul Solis: Of 2017?

35 Former Deputy COS: Of 2017.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

1 Jeff Brown: Okay. You said that Mr. Schwab had made it clear on several occasions that
2 he was planning to leave the office. Can you talk about those instances in a
3 little more detail?

4 Former Deputy COS: Up and ... I mean it was such a regular occurrence that people were noticing
5 how tired I was and people thought it was because David is a hard member
6 to work for. He's highly caffeinated and a little off kilter at times. It was
7 because Oliver was always threatening to quit, like literally day of walk out,
8 quit. "I'm going to quit today. I hate David and I hate this job and this is going
9 to be terrible." And I consistently talked him off the ledge because David is, I
10 would say ... the word I would use would be emotionally attached to Oliver.

11 Jeff Brown: What other staffers in the officer would have seen or been privy to any of
12 these conversations?

13 Former Deputy COS: Cami Lepire heard the conversation. Oh, as far as Oliver quitting or him
14 yelling at me?

15 Jeff Brown: Either one.

16 Former Deputy COS: As far as the conversation that was overheard when he was yelling at me the
17 second time, Cami Lepire did overhear that and apologized because she
18 thought it was her fault that he was yelling and it wasn't. But he was fairly
19 open to every staffer that would ask him that he was going to quit and not
20 come back in my opinion. There was no one that ... there was literally no one
21 in the office that assumed he was going to be back this Congress.

22 Paul Solis: Just a little bit walk back on your time in the office, so from 2011 when you
23 started, was Mr. Schwab in the office?

24 Former Deputy COS: Yes. He was-

25 Paul Solis: Your entire time there?

26 Former Deputy COS: He was there until he left to run the Super PAC in the Quayle campaign of
27 2012 and then he came back after the election.

28 Paul Solis: Did he have the same job title both periods?

29 Former Deputy COS: No. He came back ... Matt Tully was still chief of staff when Oliver came back.
30 Oliver I think had the title of senior advisor and then when Matt left, Oliver
31 became the chief of staff again.

32 Paul Solis: Okay. Again when was that when he became chief of staff?

33 Former Deputy COS: Whenever Matt Tully left, I believe Spring of '14.

34 Paul Solis: Okay. And in that 2011 to 2012 window before he left to go run the Super
35 PAC, was title also senior advisor?

36 Former Deputy COS: No, it was chief of staff.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

1 Paul Solis: Mr. Schwab's?

2 Former Deputy COS: I did not report to him but that was his ... Oliver was the chief of staff. I
3 reported to Matt Tully when Matt Tully was the LD. When Oliver left, Matt
4 Tully became the chief and then I reported to Matt Tully as chief as the LD.

5 Paul Solis: Got it.

6 Jeff Brown: Do you currently have any relationship with Oliver Schwab?

7 Former Deputy COS: None.

8 Jeff Brown: Okay. When was the last time you spoke with him?

9 Former Deputy COS: I think I sent him an email thanking him for a referral to or for information
10 that John Carter had a job opening at that time. I was still unemployed and I
11 said thank you. And I think it was the last response. I did have an email from
12 him, you know, when you called, I knew this was going to be about this
13 because I saw the story. I was not one of the sources. I called Kevin because I
14 still have a ... I do have a very good relationship with Kevin. He and I talk
15 regularly and he's kind of my mentor in a lot of things. I told him, I said, "I
16 wasn't the source," and I don't have anything to do with this and I really
17 don't want anything to do with this." Evidently, Kevin did relay to Oliver that
18 I was not the source and Oliver said, "Thank you for your kind words," and
19 that was the last I've heard of him. He has not called me or emailed me since
20 and I did not respond to that email.

21 Jeff Brown: What did Kevin say about the story?

22 Former Deputy COS: He ... when I had called him, he had not even heard ... he had not even seen
23 the story. He was doing ... oh he may have been on vacation with his ... I
24 think that's when his mother-in-law was passing. So, he didn't even know
25 the story.

26 Jeff Brown: Have you talked to anybody else about that story?

27 Former Deputy COS: Other than my wife and my parents, no.

28 Paul Solis: When was Mr. Schwab's email to you about-

29 Former Deputy COS: That's not ... I did share that with my current employer so that she would
30 know that that was going on.

31 Paul Solis: Wait for the train to go by. When did Mr. Schwab send you that email saying,
32 "Thank you for your kind words?"

33 Former Deputy COS: Sometime in November.

34 Paul Solis: Of 2017?

35 Former Deputy COS: Yes.

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1 Jeff Brown: Do you have any idea who the sources were for that story?

2 Former Deputy COS: I could probably make a guess for one and a good guess for one and a
3 somewhat educated guess for the other but other than that, no.

4 Paul Solis: Okay. What would you lead you to have an educated guess on one of those?

5 Former Deputy COS: I believe that he terminated Mary O'Connor at roughly the same time he did
6 me. She had major issues with some of the things that he did. I believe I
7 signed all the budget paperwork. I have no reason to believe that he was
8 doing anything unethical with his reimbursements. Spendthrift, that's for
9 sure. But, you know, she would get frustrated over his spendthrift nature but
10 I believe that she had concerns so I believe that she could be one. I also have
11 not heard but I ... not directly from any source, but from people who have
12 worked with Martha McSally's chief, that he could be one, that that would be
13 the kind of thing that he would do.

14 Paul Solis: And who is that?

15 Former Deputy COS: Justin Roth.

16 Jeff Brown: What is Oliver's relationship to Justin?

17 Former Deputy COS: Just colleagues but the suspicion and this is someone who is now working
18 for the federal government way out west who I used to work with in John
19 Carter's office, he has no ties to him other than he worked for Justin Roth
20 when Justin Roth was Joe Walsh's chief. And he said based on the things that
21 he observed Justin Roth do while he was with Joe Walsh, that he could see
22 that Justin Roth would leak something like this to the press to force David to
23 stay out of the Senate race so that Martha McSally could run.

24 Paul Solis: And just to go back, you mentioned a couple times this Mary O'Connor?

25 Former Deputy COS: Mm-hmm (affirmative).

26 Paul Solis: Was, I think you used the word terminated, was she actually her
27 employment was terminated by the Member? Paperwork was signed by the
28 Member?

29 Former Deputy COS: That was after I left.

30 Paul Solis: Okay. So you don't know that firsthand knowledge?

31 Former Deputy COS: I ... the only ... I mean I wasn't in the office at the time but I was told by
32 former staffers that were still on the Hill that she was let go.

33 Paul Solis: Okay. And you also referred to your employment as being terminated as well
34 and I just want to be clear, you talked to us about the options that were
35 given to you but were you officially terminated or did you resign?

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1 Former Deputy COS: I took a severance package and then I got an email right before the start of
2 the new Congress saying that the severance package was not going to be
3 offered because he needed the money for other places.

4 Paul Solis: Okay.

5 Former Deputy COS: And so at that point in time, I would consider myself moving from resigning
6 to terminated.

7 Paul Solis: Okay.

8 Former Deputy COS: Semantics.

9 Paul Solis: Okay. Were you notified at all by the House that your employment had been
10 terminated?

11 Former Deputy COS: I mean only in the I didn't get a paycheck the next month.

12 Paul Solis: Okay.

13 Former Deputy COS: I filed for unemployment and it was not contested.

14 Paul Solis: Okay. I just want to be clear because I think it matters in the timeline here.
15 You were offered a severance package and I want to ask a question about
16 that. You were offered a severance package, you received an email from
17 Oliver Schwab or Representative Schweikert?

18 Former Deputy COS: Oliver Schwab.

19 Paul Solis: Informing you that that severance package was not going to be issued-

20 Former Deputy COS: Honored.

21 Paul Solis: -honored to you. Then what happened? You just stopped receiving a
22 paycheck?

23 Former Deputy COS: I got my paycheck on ... my last paycheck for up through ... from January 1st
24 to January 3rd, and that was it.

25 Paul Solis: Were you and you talked about Mr. Schwab's message to you that there were
26 options in front of you. You could stay on-

27 Former Deputy COS: That was in October.

28 Paul Solis: Did you refuse those options in that meeting with him or that discussion
29 with him?

30 Former Deputy COS: No. I told him I needed time to think about it. I originally asked to give him a
31 decision post-Halloween or no post-Thanksgiving and he did ... he originally
32 agreed to that and then moved the timeline up but I had decided basically
33 within less than 24 hours that I was not going to go back.

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1 Paul Solis: Okay. So, you had never filed paperwork in late 2017 with House Payroll
2 notifying them of your ending of employment with the House?

3 Former Deputy COS: No.

4 Paul Solis: You just stopped receiving a paycheck?

5 Former Deputy COS: Yeah.

6 Paul Solis: Did you at all inquire with the Office again about the status of your
7 employment?

8 Former Deputy COS: He sent me an email saying that they weren't- that my ... after ... The original
9 agreement was for me to receive payment until – full salary for six months
10 until July 1 of 2017. Either late December or early January, he sent me an
11 email saying that because of David's changing budget needs, he can't honor
12 the agreement, and that I would be paid through January 3rd, and that
13 would be the end of it.

14 At the time, my wife was in her third trimester. It was a very difficult
15 pregnancy and every time I had an email receive from Oliver Schwab, I
16 would talk to my wife, because we had that kind of relationship and it would
17 shoot her blood pressure up and be dangerous for the baby. I just wanted it
18 to end and so I said, "Fine. I will not seek any compensation."

19 Jeff Brown: Did you maintain any of these documents related to your severance?

20 Former Deputy COS: Everything else was done through the House. I didn't have access my House
21 email post-Thanksgiving. I recently deleted ... I guess, I kept seeing his name
22 pop up in my Google searches, so I deleted everything, but that can probably
23 be recovered, the last email that I got from him. That was before you emailed
24 me. That was the last time, I think.

25 Jeff Brown: Did you want to ask a question about the severance?

26 Paul Solis: No. I think ... You've given us the terms of the severance. It was that you
27 were going to be paid through July of 2017 at your typical salary, your
28 normal salary.

29 Former Deputy COS: Mm-hmm (affirmative).

30 Paul Solis: But that you would no longer be reporting to work anymore, right?

31 Former Deputy COS: Mm-hmm (affirmative).

32 Paul Solis: Your employment would be terminated or ...

33 Former Deputy COS: I would be continuing to work as a need basis.

34 Paul Solis: Okay.

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1 Former Deputy COS: In the office.

2 Paul Solis: It was still an understanding you would be a House employee?

3 Former Deputy COS: Yes.

4 Paul Solis: At a reduced work hours?

5 Former Deputy COS: Yes.

6 Paul Solis: But there was no understanding between you and Mr. Schwab that you
7 would no longer be reporting at all to work?

8 Former Deputy COS: I think he was under the impression that I would get a new job really quickly
9 and he would never have to ever pay me any additional money, which was
10 my intention. I really wanted to be done, but ... But yeah, that's ...

11 Paul Solis: Do you know if there was an official designation of the type of work category
12 you'd be in? It was leave with pay or ... ?

13 Former Deputy COS: I don't.

14 Paul Solis: Okay. We can move on, Jeff, if you like.

15 Jeff Brown: We've talked a little bit about your relationship with Oliver, in the office, Mr.
16 Schwab. What was your working relationship like with Representative
17 Schweikert?

18 Former Deputy COS: Excellent.

19 Jeff Brown: Can you talk a little bit about the working relationship that you had with
20 him? Sort of, what things you would directly report to him on?

21 Former Deputy COS: Oliver was technically my supervisor when I became the deputy chief of
22 staff, but for all intents and purposes, I was reporting to David on
23 everything, everything legislatively. I staffed him at committee. We had a
24 very good working relationship.

25 Jeff Brown: To what extent was Representative Schweikert aware of Mr. Schwab's
26 continued threats to quit?

27 Former Deputy COS: I don't think he was. He knew that Oliver had put in paperwork once to
28 leave, but I don't think David was aware that Oliver was constantly teetering
29 back and forth on it.

30 Jeff Brown: How would you describe Representative Schweikert's relationship with Mr.
31 Schwab?

32 Former Deputy COS: Dependent. Maybe even co-dependent.

33 Jeff Brown: What do you mean by that?

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1 Former Deputy COS: I think ... I'm not a doctor. I think that David displays some signs of autism.
2 And Oliver got him elected the first time, and David feels that without Oliver,
3 nothing could go right for him.

4 Jeff Brown: What do you mean Oliver got him elected the first time?

5 Former Deputy COS: I mean, Oliver was the campaign staffer. The story that I know is that they
6 were in the car. Oliver was with Senator Toomey at the time, back when
7 Toomey was running Club for Growth. David called Toomey, said, "I need to
8 figure out how to win this campaign." Toomey said, "I've got this guy for you
9 right now. He'll be there." And they won. They beat Harry Mitchell and David
10 got elected. And so, when he won the campaign, I think he considered
11 Oliver's campaign plan as what won him that campaign.

12 Jeff Brown: If it's okay, I would like to sort of take a step back a little bit, and talk a little
13 bit more generally about the ways things operated in Representative
14 Schweikert's office.

15 Former Deputy COS: Okay.

16 Jeff Brown: You can kind of talk through some generalities, and then I have some more
17 specific questions along the lines of some of the stuff we were just talking
18 about. Did you have occasion to travel while you were in Representative
19 Schweikert's office?

20 Former Deputy COS: Yes.

21 Jeff Brown: Okay. How frequently?

22 Former Deputy COS: Once a quarter, maybe.

23 Jeff Brown: And generally, for what sort of purposes would you travel?

24 Former Deputy COS: Most of my travel was done to the district. I had maybe one or two over the
25 course of the year to go outside the district to represent Schweikert in an
26 official capacity a day he wasn't available.

27 Jeff Brown: How frequently do staffers travel to and from the district?

28 Former Deputy COS: Most staffers very infrequently, no more than once or twice a quarter. There
29 were a few staffers that were from the district that would be more regular to
30 go back and staff David on events. Oliver seemed like he was traveling most
31 weekends to the district, at least every other weekend to the district.

32 Jeff Brown: And when you say weekends, what days are we actually talking?

33 Former Deputy COS: Last session day to the flying day. So if it's a four-day week and we ended on
34 Thursday, Oliver would fly out Thursday or Friday morning and then come
35 back before votes on the Monday or the Tuesday, depending on which ...

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1 Jeff Brown: Are you aware of what sort of role Oliver was playing while in Arizona for
2 Rep. Schweikert?

3 Former Deputy COS: A little. He made a dual-hat role, both as the chief of staff representative for
4 David, official business, and also doing fundraising.

5 Jeff Brown: Okay.

6 Paul Solis: He was a paid campaign employee?

7 Former Deputy COS: I did very little campaign work for him. I know very little about the ins and
8 outs of the campaign.

9 Paul Solis: Are you aware if Oliver Schwab was a paid campaign employee?

10 Former Deputy COS: I am not.

11 Paul Solis: You do know that he did work for the campaign?

12 Former Deputy COS: I do.

13 Paul Solis: But whether or not he was compensated for that you do not know?

14 Former Deputy COS: I do not know.

15 Jeff Brown: You said Oliver would travel back and forth to the district with some degree
16 of frequency. Who else would travel back? Specifically, who else would
17 travel back and forth with frequency?

18 Former Deputy COS: Other than the member, no one else with frequency.

19 Jeff Brown: Can you walk us through the process by which a staffer, if they were
20 traveling to another district, how would they arrange for travel out to the
21 district?

22 Former Deputy COS: Usually book their own flights and then reimburse the flight.

23 Jeff Brown: How does the reimbursement process work?

24 Former Deputy COS: Book the flight, print the flight receipt, and then you look at the flight receipt
25 and then you reimburse, the reimbursement process.

26 Jeff Brown: Who is the person that you're going to to seek the reimbursements?

27 Former Deputy COS: When I was signing some budget documents it was both me with the second
28 eye set of Mary O'Connor. I would not sign my own documents without
29 another staff to look through anything that I was getting reimbursed on.

30 Jeff Brown: Who would have signed them for Mr. Schwab?

31 Former Deputy COS: Often me with Mary O'Connor also seeing.

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1 Jeff Brown: If Oliver was heading out to the district during one of those weekends,
2 would you have conversations about what kind of work he was doing out in
3 the district?

4 Former Deputy COS: Usually, yes.

5 Jeff Brown: You mentioned that he would staff David on both official and campaign-
6 related issues.

7 Former Deputy COS: Mm-hmm (affirmative).

8 Jeff Brown: If there were campaign-related issues was there ever any discussion about
9 whether or not the campaign would pay for the flight or the official MRA
10 would pay for the flight?

11 Former Deputy COS: No. Most issues with the campaigns, they were either ... If he was doing
12 campaign-related activities, they were either after-hours or on the weekend.
13 He would be there for official business at least, basically a day and a half, two
14 days, and if there was any sort of campaign event, it would not be the
15 majority of his time there.

16 Jeff Brown: Did you have any concerns based upon the travel-related documents that
17 you saw with the way in which Mr. Schwab was spending MRA funds to
18 travel to and from the district?

19 Former Deputy COS: Not at the time. In hindsight, I felt there may...should have been more
20 supervision of him.

21 Jeff Brown: What do you mean by that?

22 Former Deputy COS: I just ... I'm a very cover-your-butt kind of guy. I'm very familiar with your
23 organization because when I was with John Carter, I learned all about the
24 history of the OCE and how it was formed. He was my boss. I felt like I had to
25 sign his documents. I didn't really think about it until after I left, that there
26 was not enough overlap on activities and there should've been more.

27 Jeff Brown: To what extent was Representative Schweikert involved approving any of
28 Mr. Schwab's travel?

29 Former Deputy COS: Almost none. I mean, other than him telling David "I'm coming to the
30 District," David said ... or David telling him "Come to the District."

31 Jeff Brown: So the individuals that may have been involved with Oliver's travel to and
32 from the District would have been potentially yourself, Mary, and maybe
33 Representative Schweikert?

34 Former Deputy COS: Yeah. I'm sure I didn't sign every single reimbursement document. I'm sure
35 if you look through, over the reimbursement documents, you'll find a Kelly
36 Roberson or a Katherina Dimenstein or maybe a Ryan White as well. But it
37 was my standard operating procedure that at least two staffers, whether

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1 that was me and Mary O'Connor or another staffer and Mary O'Connor,
2 always saw the documents for reimbursement.

3 Jeff Brown: Were there any policies and procedures in place in the office relating to
4 staffer travel to the District?

5 Former Deputy COS: No, not officially.

6 Jeff Brown: Okay. Unofficially?

7 Former Deputy COS: I wouldn't say unofficial. I mean, other than "You need to go to the District,
8 go to the District for these meetings."

9 Jeff Brown: Yeah.

10 Paul Solis: Your policy that you just talked about of having at least a couple staffers look
11 over reimbursement request documents, that also applied to Mr. Schwab's
12 reimbursement requests?

13 Former Deputy COS: Yes.

14 Paul Solis: So he would have two independent people look over his documents?

15 Former Deputy COS: I would always look at and Mary O'Connor would always look at it.

16 Paul Solis: And when you talked about your policy, you sort of developed that method
17 or was that-

18 Former Deputy COS: That was my ... yeah, that was my method.

19 Paul Solis: Okay. And Mr.-

20 Former Deputy COS: For reimbursements.

21 Paul Solis: And Mr. Schwab sort of acquiesced or agreed to that-

22 Former Deputy COS: Yeah.

23 Paul Solis: That method?

24 Former Deputy COS: Yep.

25 Jeff Brown: Would you and/or Mary have been raising any issues that you did see?

26 Former Deputy COS: Yes.

27 Jeff Brown: Okay. Do you know if either yourself or Mary ever raised any issues with any
28 MRA-related disbursements?

29 Former Deputy COS: The only two I can think of were a Dyson fan for Oliver's office and the
30 standing desks, both of which Mary said "If these get kicked back, you need
31 to pay out of pocket." And that's it.

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1 Paul Solis: And this policy, again, you have of two independent staffers looking at the
2 documents, how long was that in place?

3 Former Deputy COS: For the entire time that I was signing the budget documents.

4 Paul Solis: How long were you signing budget documents?

5 Former Deputy COS: I believe December of 2014.

6 Paul Solis: Until the end of your-

7 Former Deputy COS: Yeah.

8 Paul Solis: - employment?

9 Former Deputy COS: Until I left the office on the Monday before Thanksgiving of 2016.

10 Paul Solis: Why didn't Mr. Schwab, as chief, take on the budget responsibilities? Was
11 that a decision by-

12 Former Deputy COS: I asked to take on the budget responsibilities. At one point in time, he said
13 "The budget's killing me," and I said "I'll do the budget." I wanted the
14 experience.

15 Paul Solis: And this is with Mr. Schwab, you had this conversation?

16 Former Deputy COS: Yes.

17 Paul Solis: Okay.

18 Jeff Brown: When staffers travel out to the District Office, is there a hotel that they
19 would ordinarily stay in?

20 Former Deputy COS: I almost always stayed at the Scottsdale Plaza because it was pretty cheap
21 and it's close to the District, or close to the District Office. Oliver almost
22 always stayed at this okay Hilton Garden Inn. I'd stayed there once I think,
23 and I did not care for it.

24 Jeff Brown: Are both of those hotels close to the District Office?

25 Former Deputy COS: Yes, within 10 minutes I think.

26 Jeff Brown: Would you need to rent a car in order to get from the closest hotel to the
27 District Office?

28 Former Deputy COS: Oh, I don't think there's any hotel close enough to the District Office that you
29 could just walk to.

30 Jeff Brown: So it was standard practice, if anyone was traveling to the District, to rent a
31 car?

32 Former Deputy COS: Yes.

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1 Jeff Brown: Can you walk us through who the primary staffers are out in the District?

2 Former Deputy COS: Kevin Knight, Ernestina Borquez-Smith are the only two that I know of that
3 are still there.

4 Jeff Brown: Can you tell us a little bit about their roles?

5 Former Deputy COS: Kevin is ... I like to call him the heart, David's heart. He's a former
6 legislative ... or, sorry. He's a former Minnesota Congress ... State House
7 Representative. He takes the hard meetings in the District, you know, the
8 meetings that most people end up crying in. Ernestina is the ... I don't mean
9 like ... I mean like the heartstring meetings, the ALSs, the Dreamer kids,
10 those types of meeting where it's ... you want to be able to be helpful. Kevin
11 does a better job, or at least in the District, does a really good job at
12 interacting with them. Ernestina, she is a bit of a catchall in the District. She
13 also is the head case worker. In my opinion, there's no one better.

14 Jeff Brown: What sort of relationship does Oliver have with Kevin Knight?

15 Former Deputy COS: I would assume he has a good relationship. We were all very close for almost
16 six years.

17 Jeff Brown: You said Kevin is a bit of a mentor to you-

18 Former Deputy COS: Yes.

19 Jeff Brown: Can you just elaborate on how that relationship developed?

20 Former Deputy COS: Kevin and I started ... My very first trip out to the District, which ... I cannot
21 remember if it was in 2011 or 2012, but I was there for an entire week.
22 Kevin just kind of sat down and said "Here's how my life has been. I started
23 as a salesman and ran for politics. Came here to retire, got with David. Here
24 are the conservative things that I believe and how you should live your life,"
25 and I went to church with Kevin when I was down in the District. He's an
26 older guy who has a good head on his shoulders.

27 Jeff Brown: When you would travel to and from the District, how does one keep track of
28 expenses?

29 Former Deputy COS: Receipts.

30 Jeff Brown: And how does a staffer go about getting reimbursed for their expenses in the
31 District?

32 Former Deputy COS: Standard House expense report form.

33 Jeff Brown: Who do those flow through in Representative Schweikert's office?

34 Former Deputy COS: If it was me traveling, I would show Oliver and one other staffer and Mary
35 O'Connor. If it was another staffer, they'd go to Oliver then to me and then to
36 Mary O'Connor.

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1 Jeff Brown: Okay. Were there any office policies or procedures or guidelines on amount
2 of money that could be spent on accommodation, travel?

3 Former Deputy COS: Try to keep it as ... Wherever you're staying, try to get a government rate,
4 cheaper than \$150 a night. Get the cheapest car you can find within 4 or \$5.
5 For me and the instructions that I gave to my staff was if your meals are
6 office-related, you can reimburse them. If you're going to go out and have
7 drinks and just have a good time, then no.

8 Jeff Brown: Switching gears a little bit, office supplies. If you're a staffer in
9 Representative Schweikert's office and you need office supplies, how do you
10 go about procuring those office supplies?

11 Former Deputy COS: Well, if it's an immediate need, typically would use the office supply store in
12 the House. Some of those items are really expensive. If it was power cords
13 and things like that, you'd order them on Amazon usually, for me and the
14 other staffers. Anything that you couldn't get in the office ... Anything that
15 you couldn't get in the supply store or was exuberantly expensive in there, it
16 would go through Amazon.

17 Jeff Brown: Is there an individual in the office who's primarily responsible for ensuring
18 that the office has all the office supplies that it needs?

19 Former Deputy COS: I would say for the most part, staff would say "I need to go downstairs and
20 get pens," or "I need this." Most of the Amazon supply purchases, I would
21 say, directly from the lower level staff, would come through me.

22 Jeff Brown: Is there any sort of office policy or procedure on office supplies?

23 Former Deputy COS: You know, not ... Be reasonable.

24 Jeff Brown: Does Representative Schweikert have any involvement in-

25 Former Deputy COS: No.

26 Jeff Brown: ... the office supply purchases?

27 Former Deputy COS: None.

28 Jeff Brown: Switching gears again, I'd like to talk a little bit about education. Are staffers
29 in Representative Schweikert's office reimbursed for educational
30 coursework of any kind?

31 Former Deputy COS: Yes. I mean, they paid for ... The House reimbursed my education for my
32 federal student loans.

33 Jeff Brown: How about outside of repayment for student loans? Are individuals
34 permitted to take coursework while they're staffers?

35 Former Deputy COS: No one during my time there did that. I don't ... that I ever remember signing
36 a reimbursement for.

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1 Jeff Brown: Okay. Are you familiar, excuse me, with the Naval War College?

2 Former Deputy COS: I am.

3 Jeff Brown: It seems to be a popular place for folks in Representative Schweikert's office

4 to take courses. Can you elaborate on that?

5 Former Deputy COS: I believe that Katherina Dimenstein and Ryan White were both trying to get

6 master's degrees from there. As Congressional staffers, I believe ... I was

7 under the impression it was free.

8 Paul Solis: Why do you have that impression?

9 Former Deputy COS: That's what I was told by them, and I had no reason not to believe them. I've

10 heard lots of people talk about the Naval War College for the most part being

11 free for Congressional staffers.

12 Jeff Brown: Do you know if their courses are online or in person?

13 Former Deputy COS: No idea.

14 Paul Solis: Do you recall Oliver saying that he took courses there?

15 Former Deputy COS: I don't think so.

16 Paul Solis: Or did anybody talk about Oliver taking courses there?

17 Former Deputy COS: I don't think so.

18 Jeff Brown: I'm going to hand you just a couple documents and feel free to flip through

19 them -

20 Former Deputy COS: Okay.

21 Jeff Brown: I really just have a couple very brief questions -

22 Former Deputy COS: Okay.

23 Paul Solis: And, you know, he's not the author of these-

24 Jeff Brown: Correct.

25 Paul Solis: ... documents. You didn't create the documents, at least as far as we

26 understand it. It's just more of a refresher to get your eyes on an item on a

27 piece of paper that maybe you can help answer Jeff's questions.

28 Former Deputy COS: Okay.

29 Jeff Brown: Can you just generally describe for me what this document is?

30 Former Deputy COS: It looks like a current commercial reimbursement for travel. And it looks like

31 they're almost all flights. Yeah.

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1 Jeff Brown: I should say for the record too, the Bates range on this document is
2 CAO_0005 through 10. On the first page, so CAO_0005, is that Representative
3 Schweikert's signature?

4 Former Deputy COS: No.

5 Jeff Brown: Whose signature is that?

6 Former Deputy COS: That's mine.

7 Jeff Brown: I'm handing you one other document. This is CAO_0011 through 26. Same
8 question, just what is this?

9 Former Deputy COS: It looks like a reimbursement for a trip. I'm not sure what the telecom ... I
10 don't remember the telecom one was for, but the rest of it looks to be, time
11 frame, maybe the Super Bowl trip.

12 Jeff Brown: Is that your signature on the first page of this document?

13 Former Deputy COS: Yes.

14 Jeff Brown: And just one final document here. This is CAO_0062 through 76. What is this
15 document?

16 Former Deputy COS: It looks like office supplies reimbursement.

17 Jeff Brown: Okay. Whose signatures are those on the front, if you're aware?

18 Former Deputy COS: That's Ashley Sylvester's. That one, I don't know.

19 Paul Solis: It looks like it possibly could be ... the first name there could be David. Under
20 it is member/chairman/officer/other approver's signature. Do you recall if
21 that looks like Representative Schweikert's signature?

22 Former Deputy COS: I don't think so.

23 Paul Solis: Okay.

24 Jeff Brown: Is Representative Schweikert generally or ever involved in signing these
25 sorts of reimbursement forms?

26 Former Deputy COS: No.

27 Jeff Brown: Okay. When there is a document that calls for a
28 member/chairman/officer/other approver's signature, who is generally
29 signing those documents?

30 Former Deputy COS: Often it was me, as in the case of these first two, with two other people
31 viewing it before I would sign it. But this one, I don't what the ... I don't
32 remember seeing that one.

33 Jeff Brown: Does representative Schweikert keep a stamp-

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1 Former Deputy COS: Yes.

2 Jeff Brown: ... of his signature? Okay.

3 Former Deputy COS: Yes.

4 Jeff Brown: And what is that stamp used for?

5 Former Deputy COS: I don't recall anyone ever stamping a reimbursement. It's usually for like
6 signing letters to other members. It is not his signature on that, on that
7 stamp though.

8 Jeff Brown: I want to make sure that I understand this. For MRA-related disbursements,
9 Representative Schweikert is generally not a signatory to any of those
10 documents?

11 Former Deputy COS: Yes, correct.

12 Jeff Brown: With these documents in front of you, can you help again just ... I know we've
13 talked about this, but if you can walk us through the process by which
14 somebody is going to get reimbursed for office supplies or travel ... Can you
15 walk us through that with reference to these documents? How does the
16 process work in Representative Schweikert's office?

17 Former Deputy COS: It looks like this is the government card, so ... Actually, I don't know if it is or
18 if it's his card. It's been a long time. But typically, you would printout the, at
19 least at the time ... And this may have been the one that kind of triggered my
20 whole receipt thing. I would only do receipts if they had printouts of the
21 flights. But, if I remember correctly, but you'd submit your flights. This is a
22 little out of the typical norm as far as what the ... of the signature.

23 Jeff Brown: Just for the record, you're referring to the document-

24 Former Deputy COS: 005.

25 Jeff Brown: Thank you.

26 Former Deputy COS: But it looks like they're all flights to and from Phoenix. It could be member
27 travel or David travel or both of them together. Since it looks like it is all
28 flights, that may have been why. Everything else, you would provide Amazon
29 printouts, receipts of what you ordered to be reimbursed. We'd go through
30 those, and if they were office appropriate, you would sign them.

31 Jeff Brown: And then after these documents were signed and they're compiled with
32 receipts, where do these documents go?

33 Former Deputy COS: I kept a bunch in a filing cabinet in my desk. Anything that I signed, I kept for
34 myself a copy. I turned those back in when I left.

35 Jeff Brown: Aside from the copy that you kept, where would the originals go?

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1 Former Deputy COS: Well, so the originals would be turned in by Mary O'Connor. I thought they
2 were copies coming back to me but they may have been the originals that
3 come back after she processes them.

4 Paul Solis: But where is she taking them to?

5 Former Deputy COS: Oh, to just the reimbursement ... the-

6 Paul Solis: So House -

7 Former Deputy COS: The House-

8 Paul Solis: [inaudible crosstalk 00:48:04]-

9 Former Deputy COS: Yes.

10 Jeff Brown: Did Mary have an official title in the office?

11 Former Deputy COS: I don't remember what her official title was, but she is a reimbursement
12 contractor essentially and works for several offices.

13 Jeff Brown: If you had to describe what Mary did on day-to-day basis, how would you
14 describe that?

15 Former Deputy COS: She would come in. She'd most ... pay the bills, anything that we had that
16 came in, any sort of bills that she had pay ... that needed to be paid. She
17 would pay the bills. If we have any reimbursements, she would look over the
18 reimbursements with me and then process them.

19 Paul Solis: You want to ask about ... He mentioned a Super Bowl trip.

20 Jeff Brown: I do want to ask you about that.

21 Former Deputy COS: I figured you would.

22 Jeff Brown: What can you tell us about that trip?

23 Former Deputy COS: I was-

24 Paul Solis: First of all, you called it the Super Bowl trip, so why do you call it the Super-

25 Former Deputy COS: Because it was the weekend of the Phoenix Open and the Super Bowl. It was
26 also the Super Bowl ... They called it the Super Bowl trip in that article.

27 Paul Solis: Okay.

28 Jeff Brown: And by the article, you are referring to-

29 Former Deputy COS: The Examiner article.

30 Jeff Brown: Thank you. What can you tell us ... Well, I should actually ... When did you
31 first read the Examiner article?

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1 Former Deputy COS: It was kind of interesting. I started getting texts and phone calls, "Let's do
2 lunch," on November 5th from former staffers or other people on the Hill. I
3 was like "Okay, let's do lunch." Then finally one of the former staffers said "I
4 can't believe you went to the press on this," and I was like ... I had no idea
5 that this article was coming out, and I did not talk to the press so I was just
6 as surprised as anyone else on that. But when I read that, I assumed at some
7 point you all would be calling me.

8 Jeff Brown: Aside from what you've read in the Examiner article, what first-hand
9 knowledge do you have about Mr. Schwab's trip to Phoenix?

10 Former Deputy COS: As far as I know, it was a mix-use trip with probably I would say more
11 official business than unofficial. He did go to the actual Super Bowl but
12 because of the two week weekend ... or the weekend that was there, it was
13 both the Phoenix Open and the Super Bowl. I remember at one point in time,
14 he told me everything that he was doing that was officially related. And I ...
15 as lodging if I remember correctly, it was the standard Hilton that he had
16 already stayed ... always stayed in. So other than the cost which as someone
17 who had just done the budget was really frustrated with, but I had no reason
18 to believe that he had gone off the reservation on that trip as far as ethics is
19 concerned.

20 Jeff Brown: What sort of official activities do you recall him telling you that he was
21 doing?

22 Former Deputy COS: I think and again this is two years ... two years now, no three years. I know
23 he was taking office meetings. I know he was in the office really early every
24 day he was down there and working out of the District office. I believe he
25 took some site tours and some other things. And, you know, he also went to
26 the Super Bowl and I believe that was at least one fundraiser that was down
27 there. No, I think ... a McCarthy fundraiser I think.

28 Jeff Brown: Let's take some of that one at a time. How would you be aware of what
29 official activities he was doing aside from him telling you?

30 Former Deputy COS: That would have been it.

31 Paul Solis: When you said he was in the office early, and do you know that by emails he
32 was sending or by phone calls he was making?

33 Former Deputy COS: I believe he did call me once from the office number and I just ... I vaguely
34 remember him saying ... I asked him, "Why are you calling me from the
35 District office this early," and he's, "I'm working."

36 Jeff Brown: Okay. And you said he was in the office every day he was there during that
37 trip?

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1 Former Deputy COS: I actually don't know that for sure. I would ... he claimed to be in the office
2 every day and he never gave me a reason to believe when he was in the
3 office that he wasn't in the office, that he said that he was in the office that he
4 wasn't in the office.

5 Jeff Brown: Let's break some of this down. You said he attended the Super Bowl. How do
6 you know that?

7 Former Deputy COS: There were pictures on Facebook and he told me he was going to go to the
8 Super Bowl.

9 Jeff Brown: Okay. Do you know if he attended the Super Bowl with anyone?

10 Former Deputy COS: His wife and David.

11 Jeff Brown: Are you aware-

12 Paul Solis: And that's Representative Schweikert?

13 Former Deputy COS: Yes, sorry.

14 Jeff Brown: Are you aware of any other activities that he partook in on Super Bowl
15 Sunday?

16 Former Deputy COS: No.

17 Jeff Brown: You mentioned the Phoenix Open. Did Oliver attend the Phoenix Open?

18 Former Deputy COS: I do not know.

19 Jeff Brown: Okay. Is the Phoenix Open an event that Representative Schweikert or other
20 staffers have attended in the past?

21 Former Deputy COS: I don't know.

22 Jeff Brown: Aside from the Super Bowl, what other-

23 Former Deputy COS: I will say this about the Phoenix Open. I do know that that particular time of
24 year is ... or with the Phoenix Open, every year has been, you know, you go
25 out there, you take meetings, you show people around the District because it
26 is a busy ... lots of people fly in and want to talk to the member that
27 represents. But I don't know if they ever attended the actual event.

28 Jeff Brown: And are those events campaign related or official?

29 Former Deputy COS: I am unaware of any campaign-related events to the Phoenix Open. I would
30 ... the ones that I can think of are site tours and things like that, typical
31 official-type business.

32 Jeff Brown: Aside from the Super Bowl, what other activities do you know that Oliver
33 Schwab attended during that period?

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1 Former Deputy COS: I don't remember.

2 Jeff Brown: You said that you saw photos on Facebook. Do you remember seeing any
3 photos besides Super Bowl pictures?

4 Former Deputy COS: I don't remember any other about the Super Bowl pictures ... other than the
5 Super Bowl pictures and I am no longer friends with Oliver on Facebook.

6 Jeff Brown: You mentioned his wife was out in Arizona at the time. How do you know
7 that?

8 Former Deputy COS: I think she was in there in the pictures with him. I'm not sure they were
9 married yet. I don't remember exactly when they got married.

10 Jeff Brown: Did staffers in Representative Schweikert's office, when they traveled to the
11 District, was it common or did it ever happen that they would travel with
12 significant others?

13 Former Deputy COS: I don't-

14 Jeff Brown: And I don't mean to suggest that official funds would be used to pay but-

15 Former Deputy COS: No, I don't think so. Not typically. I-

16 Jeff Brown: So-

17 Former Deputy COS: - I never went with my wife the entire time I was with David.

18 Jeff Brown: So, aside from what we'll call the Super Bowl trip in 2015, you're not aware
19 of Oliver's wife ever being out in the District when he was there for either
20 official or campaign-related business?

21 Former Deputy COS: I think there were times that she was working for the NRCC where she
22 would be out there ... she was an employee of the NRCC at one point in time
23 in that region. So, she may have been out there with him. I don't remember
24 the exact timeframe and there was a period where she was unemployed, so
25 she may have been out with him. I don't remember any specific times.

26 Paul Solis: Were you the one who looked at reimbursement receipts after that trip?

27 Former Deputy COS: I was.

28 Paul Solis: Did you notice anything you felt to be not official or did you reject any
29 requests for reimbursement?

30 Former Deputy COS: No, other than I can't believe you just busted a hole in my budget, but no.

31 Paul Solis: So, you felt that the costs were high?

32 Former Deputy COS: Yeah, but not unreasonable based on the weekend that it was there and
33 looking at some of the ... I did ... I don't remember if I looked before or after

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1 for hotels on my own. I just remember it being really expensive to go out to
2 Scottsdale that weekend.

3 Paul Solis: Okay. Did ... were there any reimbursement requests for Mr. Schwab's wife
4 in any capacity?

5 Former Deputy COS: No, not that I know of.

6 Jeff Brown: How about family members? His mother or his brother?

7 Former Deputy COS: Out to the District for reimbursements, no. I can't say for certain whether or
8 not there was any time that they were out there with him. I'd never
9 processed any reimbursements for them.

10 Jeff Brown: If Mr. Schwab indicated on a reimbursement that he was having a meal with
11 constituents, would you ask questions about who those constituents were?

12 Former Deputy COS: Not typically. He didn't ... no, not typically.

13 Paul Solis: You mentioned something about a McCarthy fundraiser that weekend if I
14 recall.

15 Former Deputy COS: I think there was an NRCC fundraiser at some point in time over the course
16 of that weekend that McCarthy was there.

17 Paul Solis: And that's because you ... well, how would you become aware of that or how
18 did you become aware of that?

19 Former Deputy COS: I mean Kevin McCarthy was in the photos I think of the Super Bowl at some
20 point in time and there was a lot of chatter in the office. It was common
21 knowledge on the Hill that they were going to have an event out in Phoenix
22 for the NRCC that weekend.

23 Jeff Brown: You mentioned Oliver expressing some frustration over the Kevin McCarthy
24 related fundraiser. Can you elaborate on that?

25 Former Deputy COS: It ended up not being a fundraiser and actually now that I think about it, the
26 Friday before the second incident which would have been in October of
27 2016, where Oliver yelled at me for an hour and a half on the phone, Kevin
28 McCarthy air-dropped a, you know, there were some ... they were doing one
29 thing and Kevin McCarthy called and said, "I want to do a Valley Fever event
30 instead." And in two days we put together a Valley Fever event.

31 Jeff Brown: What's a Valley Fever-

32 Former Deputy COS: I'm sorry, Valley Fever is a fungus that is common in Arizona and Southern
33 California that kills people. It's a fairly ... it's very common. Pretty much
34 everyone gets it but it can basically cause you to rot from the inside out if
35 you get a severe case of it. There's not a lot of studies done. David and Kevin
36 McCarthy joined together to do ... to start the Valley Fever caucus and work

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1 towards, you know, trying to get the NIH to fund a cure. There was no ... as
2 far as my awareness was concerned, there was never a fundraiser, any sort
3 of campaign aspect to the Valley Fever caucus or any Valley Fever event.

4 Jeff Brown: Before we leave this topic, are you aware of Oliver Schwab and his wife
5 attending a basketball game of any kind over Super Bowl weekend?

6 Former Deputy COS: No.

7 Paul Solis: You mentioned, that same weekend, we asked you about the McCarthy
8 event, you said there was discussions in the office about an NRCC ...

9 Former Deputy COS: No, not within the office. It was just on the hill, people knew there was going
10 to be an NRCC event.

11 Paul Solis: That Superbowl weekend ...

12 Former Deputy COS: Yep.

13 Paul Solis: And you saw, did you see pictures of that event?

14 Former Deputy COS: Not of the event, I just saw pictures of Kevin McCarthy there.

15 Paul Solis: Okay. Do you know if Representative Schweikert, or Oliver Schwab ... Do you
16 whether they attended this event?

17 Former Deputy COS: I would assume they did, I do not know for certain.

18 Paul Solis: Why would you assume that they would?

19 Former Deputy COS: It's the leader, if the majority leader was there doing a fundraising event,
20 David and Kevin McCarthy are close. I would make the assumption that he
21 was there if there was an event. I almost guarantee you that I would be right.

22 Paul Solis: And the same would go for Oliver's attendance?

23 Former Deputy COS: If David was going to an event and Oliver was in the district, then Oliver
24 would also attend the event.

25 Jeff Brown: We've talked about this, but I want to ask it again. Do you have any concerns
26 about any sort of office supply purchases that Oliver made during his tenure
27 as Chief of Staff?

28 Former Deputy COS: Not directly, and there was nothing ... Oliver and I got into it multiple times
29 over the Dyson fan that he bought for the back office, which was reimbursed.
30 It did go through Office of Payroll, Mary I believe flagged it and said, "Is this
31 okay?" I don't remember what it was, but I brought that up regularly, about
32 how I thought that was a waste of money. And he did not appreciate that, but
33 I continued to rib him about it.

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1 Other office supplies, I have no indication that he bought anything that he
2 used at home, or didn't bring anything into our office or the Arizona office.

3 Jeff Brown: Did staff use Apple products?

4 Former Deputy COS: Yes.

5 Jeff Brown: I'm going to show you two documents, CAO_0634 and CAO_0549.

6 Do the products listed on these pages, do these appear to be products that
7 would've been used in the office?

8 Former Deputy COS: Yes.

9 Jeff Brown: How would those have been used in the office?

10 Former Deputy COS: Oliver spoke almost exclusively on his Apple . . . sorry, on his iPhone, and he
11 would go through headphones like crazy. He would go through them a lot,
12 and so I would imagine that he got sick one day, or sick of having the ones
13 break and bought a whole bunch.

14 Jeff Brown: How about the digital adapters?

15 Former Deputy COS: I do not know about the HDMI or lightning adapters, but it would not be out
16 of the realm to want to use them to put them out of display, that wouldn't
17 flag my concern.

18 Jeff Brown: We've talked a little bit about education already. Are you aware of any
19 educational courses that Oliver took while Chief of Staff, for Rep.
20 Schweikert?

21 Former Deputy COS: I think he was doing a Harvard Business School enrichment. I don't
22 remember ever being asked to sign a reimbursement for that.

23 Jeff Brown: Besides Harvard, how about any other institutions?

24 Former Deputy COS: No.

25 Jeff Brown: Do you recall ever hearing anything about him taking coursework at
26 Stanford?

27 Former Deputy COS: No.

28 Jeff Brown: How about Johns Hopkins?

29 Former Deputy COS: No.

30 Jeff Brown: The Naval War College?

31 Former Deputy COS: No. Maybe the Naval War College, I don't remember him talking much about
32 it, but he may have mentioned something about wanting to do coursework
33 over there, or him talking about how it was a great thing with Kat or Ryan.

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1 Jeff Brown: If he was going to be reimbursed for coursework, who would've signed the
2 paperwork for those reimbursements?

3 Former Deputy COS: I would think it would have been me and Mary. Me with Mary looking over. I
4 don't remember ever seeing that.

5 Jeff Brown: Okay.
6 Are you familiar with the Casey Family Foundation?

7 Former Deputy COS: I don't think so.

8 Jeff Brown: Are you aware of Oliver's involvement in any sort of family foundation?

9 Former Deputy COS: He does have a family foundation, I don't know ... I thought it was a Schwab
10 family foundation, but if it's a Casey family foundation that's possible.

11 Jeff Brown: What do you know about that family foundation?

12 Former Deputy COS: That he's on the board, and that's about it.

13 Jeff Brown: What's the purpose of this foundation?

14 Former Deputy COS: I don't know. He and I didn't talk about it.
15 I wanted to bring something up which made the interactions that I had
16 before I left his office so stunning. He knew that my wife was pregnant, my
17 wife was considering leaving her job to stay home with our kids. I shared
18 that information with him, told him we were looking at buying a new house,
19 and he said, "My foundation could provide a bridge loan for you and your
20 family if you needed to get your new house and didn't have time to sell your
21 old." I found that to be nice but strange, and did not take him up on the offer.

22 Jeff Brown: Did you have any other discussions about what type of work the foundation
23 did?

24 Former Deputy COS: No.

25 Jeff Brown: Do you know what his role was at the foundation?

26 Former Deputy COS: I really don't. I know it existed, and I'm sure we had conversations about it. I
27 don't recall it being relevant, or significant.

28 Jeff Brown: So aside from how Oliver is compensated for his work as Chief of Staff on the
29 Hill, aside from his compensation that he receives directly from House of
30 Representatives, are you aware of any other income streams that Mr.
31 Schwab has?

32 Former Deputy COS: No.

33 Paul Solis: That foundation, do you know if he's paid by the foundation?

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1 Former Deputy COS: I do not know.

2 Jeff Brown: Does the company Chartwell Associates, or Chartwell LLC, does that mean
3 anything to you?

4 Former Deputy COS: He has a company named Chartwell, I think at one point in time, he may
5 have formed it when he did – when he branded David's Super PAC, that may
6 have been the name he called it. He had it, but I'm unaware of anything
7 business-related that Chartwell ever did, other than ... In the back of my
8 mind, it sticks that it may have been the name of the company that he did the
9 Super PAC against Ben Quayle.

10 Jeff Brown: Are you aware of any of the political consulting work that Oliver does for
11 Representative Schweikert?

12 Former Deputy COS: No. I had very little to do with the campaign side.

13 Jeff Brown: What do you know about the campaign work that Oliver does?

14 Former Deputy COS: I would have never even considered Oliver to be a political consultant for
15 David based on my knowledge. Chris Baker, I always thought was David's
16 political consultant.

17 Jeff Brown: Why do you say that?

18 Former Deputy COS: Cause David has referred to Chris Baker as his political consultant, Oliver's
19 referred to David as his political consultant.

20 Jeff Brown: Why would you say that Oliver is not somebody that does political
21 consulting work for Representative Schweikert?

22 Former Deputy COS: The relationship that he had with David as far as campaign, seemed to have
23 been as similar as the other office that I worked for, so it just didn't seem
24 like political consultant was what I would call him.

25 Jeff Brown: Are you aware of whether or not Miss Schwab received any sort of monies
26 from the campaign committee or the PAC?

27 Former Deputy COS: I am not.

28 Paul Solis: I had asked you this question earlier, whether or not Mr. Schwab did work
29 for Representative Schweikert's campaign committee, and you did confirm
30 that you knew that he did do work for the campaign committee. You just
31 didn't know whether or not he was compensated for that work. Is that right?

32 Former Deputy COS: I wouldn't have called him a political consultant, is what it comes down to. I
33 know he did campaign work, I wouldn't have called him a political
34 consultant. I am unaware of any third party relationship that he has
35 business-wise.

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1 Paul Solis: I just want to confirm with the work, and that word can be sort of broad, but
2 you do have knowledge that he provided services, or did do work, for
3 Representative Schweikert's campaign committee. Whether it's on a political
4 consultancy basis, that's a different question.

5 Former Deputy COS: He raised money for David.

6 Paul Solis: Right. Okay.

7 Jeff Brown: Do you know if Oliver Schwaab has any real estate or rental property
8 investments of any kind?

9 Former Deputy COS: I believe he has some sort of family compound thing in Michigan, and I
10 believe he owns a house in, or he did at one point in time, own a house in
11 Arlington or Alexandria or the one right across the way. At one time I know
12 he was exploring rental properties but, I'm not aware of anything beyond
13 those two properties.

14 Jeff Brown: Have you ever heard of a company called Pinkham Management?

15 Former Deputy COS: No.

16 Jeff Brown: How about Allerton Property Management?

17 Former Deputy COS: No.

18 Jeff Brown: Health and Wellness Partners?

19 Former Deputy COS: Nope.

20 Jeff Brown: Marcos Lopez, ever heard of Marcos Lopez?

21 Former Deputy COS: No.

22 Jeff Brown: Jim Hamilton?

23 Former Deputy COS: I have heard of Jim Hamilton.

24 Jeff Brown: Who's Jim Hamilton?

25 Former Deputy COS: I thought he did work for Uber, maybe. It may have been Uber, or Phoenix
26 Raceway.

27 Jeff Brown: Do you know if Oliver Schwab has any sort of income related to any of these
28 rental properties or real estate investments?

29 Former Deputy COS: No.

30 Jeff Brown: How about the company, Bristol Consulting? Does that mean anything to
31 you?

32 Former Deputy COS: No.

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1 Jeff Brown: Are you aware of whether Oliver Schwab's wife has a consulting company of
2 any kind?

3 Former Deputy COS: No.

4 Jeff Brown: What can you tell me about Oliver Schwab's wife being hired as a temporary
5 employee in Representative Schweikert's office?

6 Former Deputy COS: I don't ... I can't remember if they were married yet or just engaged. It was to
7 do payroll processing. I was not necessarily comfortable with that
8 arrangement, and told Oliver, counseled Oliver to get approval from Ethics ...
9 He claimed that Ethics said it was fine.

10 Jeff Brown: What made you uncomfortable?

11 Former Deputy COS: I mean, it's a relationship that processes money, and I was ... After he got
12 approval and confirmed that I would be signing any reimbursement
13 approvals, I said okay.

14 Paul Solis: Did you see a letter from the Ethics Committee, or any emails or any
15 documentation?

16 Former Deputy COS: I did not.

17 Paul Solis: It's just his statement to you, it was approved?

18 Former Deputy COS: Yes.

19 Jeff Brown: Were there ever any other instances that you're aware of that Oliver would
20 seek approval for any activities from the Committee on Ethics?

21 Former Deputy COS: He did, I mean, anything specific no, he did fairly regularly say that if there's
22 any question that you have on something you're doing, call Ethics first.

23 Jeff Brown: Do you know if he sought Ethics Committee advice on anything related to
24 the Super Bowl trip?

25 Former Deputy COS: I do not.

26 Paul Solis: I just want to very briefly return to the question of Mr. Schwab's work for
27 Representative Schweikert's campaign committee. In the last series of
28 questions you said that he raised money for Representative Schweikert in a
29 sort of fund raising capacity? Aside from the fund raising capacity, are you
30 aware of any other work that he performed for Representative Schweikert's
31 campaign committee?

32 Former Deputy COS: No, I do not.

33 Paul Solis: Okay.

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1 Former Deputy COS: That is the reason why I would qualify him, I would not have qualified him as
2 a political consultant.

3 Jeff Brown: Is there anything that we haven't talked about or haven't asked you about
4 that you think is relevant, in light of the things we've discussed today?

5 Former Deputy COS: I have always thought that Oliver is pretty good with ethics with a capital E. I
6 had no reason to distrust him during my time with David up until the very
7 end, when he completely went off the rails. I would say that Oliver was a
8 close friend for the entire time that I worked with David. He seemed to never
9 want to actually step over the line. He seemed to be very ... He had no desire
10 to step over the line, and I had no reason to believe that ... He never gave me
11 any indication that he would try to step over the line. In hindsight, I would
12 have done things differently, and if I ever go back to the Hill in a capacity as a
13 chief or a budget director for an office, I will do things differently, but it's
14 hindsight. At the time I had no reason not to trust David.

15 Jeff Brown: Specifically, what would you have done differently in Representative
16 Schweikert's office?

17 Former Deputy COS: I would have required more documentation for reimbursements, who were
18 you having dinner with things like that. If for no other reason than, it
19 protects you as the employee.

20 Jeff Brown: Would it have been common practice for mixed purpose-related trips to
21 have consulted guidance from the ethics committee?

22 Former Deputy COS: I never had a mixed purpose trip. That's not true, I had one that was an after-
23 hours fund raiser with Lamar Smith. I was not required to attend, I chose to
24 attend and that was not the reason I went out, it just happened to be at the
25 same time. And so no, I didn't consult with them because the reason I went
26 out there was to staff a field hearing for the house science space and
27 technology committee on waters of the US.

28 Jeff Brown: Is there anyone else who you think it behoove the OCE to talk to about
29 things we discussed today?

30 Former Deputy COS: If you haven't talked to Kevin, then you should talk to Kevin. Mary O'Connor,
31 if you haven't talked to Mary O'Connor. April, and I don't remember her last
32 name.

33 Jeff Brown: Who is April?

34 Former Deputy COS: She was before Mary she was our finance reimbursements person. She was
35 hired by Matt, not by Oliver, stayed after Matt left, and then fired us after
36 Oliver said he was leaving. The first time, when he actually had paperwork, I
37 just don't want to train anyone new because she was maxed out on offices. I
38 mean other than that, I don't think so.

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1 Paul Solis: Just going back to the article in the Examiner, it discusses several aspects of
2 Oliver Schwab's time in the office and then his potential work with the
3 various political committees and campaign committee, the office supply
4 reimbursements and educational reimbursements. So although we've talked
5 a bit about this stuff and your knowledge of it, I mean when you read this
6 article, how much of it did you feel was accurate and how much did you just
7 have no awareness of, and what was your response to it?

8 Former Deputy COS: The supplies, there were times I thought he was buying too many supplies.
9 Again Mary O'Connor to caution was doing the same thing, slow down on the
10 supplies, quit burning stuff.

11 Paul Solis: The article mentions \$20,000 does that seem like a-

12 Former Deputy COS: Over the course of the entire ... Over the course of six years, that seems like it
13 could be possible.

14 Paul Solis: Okay. The \$5,000 for the Super Bowl trip weekend, does that seem?

15 Former Deputy COS: Yeah, no I mean, I saw the reimbursement but I had no reason to think that
16 he misrepresented what he was doing out there to me.

17 Paul Solis: So that figure seems right?

18 Former Deputy COS: Mm-hmm (affirmative). Yeah.

19 Paul Solis: And you mentioned the hotel was expensive during that weekend. All hotels
20 in the area were expensive during that weekend. So \$4,000 total you recall a
21 number like that?

22 Former Deputy COS: Yeah, that seems about right.

23 Paul Solis: Okay. The article also talks about the potential mixed purpose nature of the
24 trip and that Mr. Schwab had given a statement to the Examiner about
25 tickets being paid for by the McCarthy Victory committee to the golf
26 tournament potentially others, you know, you said that you were aware of
27 him being in the office and he was doing official work during that time. Did
28 the mixed purpose nature, that mixed purpose discussion in the article make
29 you think any way about the trip in hindsight?

30 Former Deputy COS: No. I mean, it looks bad. And in hindsight, maybe he shoulda gotten the
31 campaign to pay for it but again, when you're on your own time you're on
32 your own time. I felt like Oliver probably spent the proper number of work
33 hours actually doing official business while he was down there.

34 Paul Solis: Okay. And that's as-

35 Former Deputy COS: As I remember it.

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1 Paul Solis: You're someone that reviewed the reimbursement requests after the fact.
2 And we've asked you about his potential work with the campaign
3 committee, or the Friends of Schweikert Pact and payments to Chartwell or
4 directly to Mr. Schwab himself. You know the figures in the article are
5 \$164,000 from the various campaign committees of Representative
6 Schweikert.

7 Does that number say anything to you? Do you recall any discussions you
8 had with him?

9 Former Deputy COS: When I read the article, the supplies, the Super Bowl trip, my thoughts were
10 those look bad, but I think they're fine. Just as my relationship with him, I
11 didn't think anything of those based on the amount of work that I knew that
12 he was doing and there were times he was not studious with supplies, it
13 seemed like he made basically writing with a pen one time and then lose it
14 and go have to buy new pens. I mean, that's kind of how he's a very flighty
15 guy when it comes to that type of stuff. I was shocked by the campaign stuff.
16 I was unaware completely and I told that to Kate, Kate is my wife by the way,
17 I told my wife I was very surprised by that.

18 Paul Solis: And then \$7,000 or approximately \$7,000 for these courses, Harvard,
19 Stanford-

20 Former Deputy COS: I don't remember any of those.

21 Paul Solis: Okay. And is the person who was handling the budget at the time should
22 have educational reimbursement be requested in the office, I would have
23 come to you?

24 Former Deputy COS: It should have. I don't remember anyone requesting an educational
25 reimbursement beyond the student loan repayment within the office.

26 Paul Solis: Has anyone from Representative Schweikert's office talked to you since this
27 article's come out? About our review, about the OCEs review?

28 Former Deputy COS: Only that Kevin and I, we talk regularly, he said that the office is in
29 investigating being investigated by the OCE, if they call, cooperate.

30 Jeff Brown: When was that conversation?

31 Former Deputy COS: Before Christmas, I think.

32 Paul Solis: That was a telephone conversation?

33 Former Deputy COS: Yep.

34 Paul Solis: Anybody else? You ever hear from Representative Schweikert or any of his
35 lawyers about-

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1 Former Deputy COS: I have not talked to anyone. I have not talked physically over the phone or
2 face to face, or with any sort of email with David since the Friday before
3 Thanksgiving of 2016. And Kevin is the only person who is currently
4 employed in the office that I still maintain contact with.

5 Paul Solis: Is Mr. Schwab still employed with the office?

6 Former Deputy COS: As far as I know.

7 Jeff Brown: Do you know Tommy Leander?

8 Former Deputy COS: I do.

9 Jeff Brown: What was Tommy's role in the office?

10 Former Deputy COS: Tommy was one of my L.A.s. He did standard L.A. work mostly new
11 economy.

12 Paul Solis: When's the last time you spoke with him?

13 Former Deputy COS: The Friday before Thanksgiving.

14 Paul Solis: 2016?

15 Former Deputy COS: 2016.

16 Jeff Brown: How about Ryan White?

17 Former Deputy COS: I talk to Ryan very regularly. I talked to Ryan White today, not about this. I
18 had not told ... I'm also in contact with Kelly Roberson, in case you asked
19 that, neither of them know that I was coming in and doing this. Both of them
20 contacted me when the article ran and Ryan was the one who tipped me off
21 that there was an article.

22 Jeff Brown: What sort of knowledge might Ryan or Kelly have about things that are
23 discussed in this article?

24 Former Deputy COS: Ryan, Ryan would probably have a knowledge of the ins and outs of
25 reimbursement. Also Kelly, would have the knowledge of the ins and outs of
26 reimbursement, but-

27 Jeff Brown: Why would they have knowledge?

28 Former Deputy COS: Standard operating procedure for reimbursements.

29 Jeff Brown: Were they ever signing anything?

30 Former Deputy COS: I am certain that at some point in time I asked Ryan to review one of my
31 reimbursements and probably Kelly too, because I would never sign my
32 own. And there may been times that they signed with my supervision and
33 Mary O'Connor's supervision.

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1 Jeff Brown I just want to make sure, you didn't have ... When you read the article you
2 said you were surprised by the amounts of money Oliver Schwab was
3 making from the campaign and the political action committees. Did you have
4 any insight into that at any point in time while you were working for the
5 Congressman?

6 Former Deputy COS: No. That was the main reason why I was so taken aback when we had our
7 meeting and he said you're going to be judged on campaign donations
8 because I had done basically zero campaigning for David.

9 Jeff Brown: Did you see Oliver Schwab working on campaign related issues?

10 Former Deputy COS: In the office, he may have stamped envelopes but I don't think he made any
11 fund raising phone calls within the office.

12 Jeff Brown: Do you have anything else?

13 Paul Solis: Think that's it. Obviously, we'd like you to keep this questions and our time
14 here confidential. That does not inhibit your rights to speak with counsel or
15 any other rights you may have so, we just always like to remind people of
16 that.

17 Former Deputy COS: I really want this just to be ... I want to be done with them and the office. We
18 did not end on good terms. We ended on very bad terms. I want to make that
19 aware, make you all aware that, that we ended on very bad terms, but as far
20 as ... I am very aware of the reimbursements and how the system worked.
21 He never gave me any indication that he was doing anything that was not
22 kosher at the time, and I have no recollection of any of the campaign related
23 activities beyond it being a fundraiser.

24 Jeff Brown: One more person I'd like to ask you about. Kyle Souza. Who's Kyle Souza?

25 Former Deputy COS: Kyle Souza was David's or he was our scheduler for a while. He now works
26 for Audible.

27 Jeff Brown: Did he play any role with either the campaign committee or PAC?

28 Former Deputy COS: I don't know. Other than as a scheduler, he probably put fundraising stuff on
29 David's schedule, but as far as any campaign role, I don't know. I do know
30 that Kyles' dad was a donor to David, but that's it.

31 Jeff Brown: Do you know if Kyle was in Phoenix for Super Bowl weekend 2015?

32 Former Deputy COS: I don't remember.

33 Jeff Brown: Would it have been likely or unlikely that he would have been?

34 Former Deputy COS: It's possible. I cannot remember when he became scheduler, and his, to be
35 honest his parents live out there, so it's possible that he was working out of

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1 the district at one point in time and then moved up here to work out of the
2 DC office. I don't remember what that time frame is.

3 Jeff Brown: How much information would Kyle have about any of the stuff that we
4 discussed today or anything that was in the article?

5 Former Deputy COS: I don't think much? I don't think so.


6 Jeff Brown: Well thank you very much for your time. We appreciate you coming in. So
7 with that, we will end the recording.

8

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ERRATA SHEET

Page	Line	Correction	Reason
		None	

This errata sheet is submitted subject to 18 U.S.C. § 1001 (commonly known as the False Statements Act).

Witness Name:

Witness Signature:

Date:

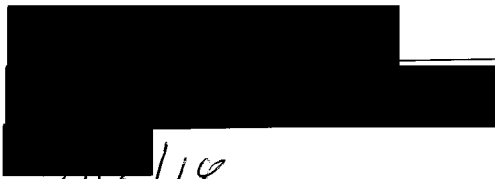

4/15/18

EXHIBIT 4

**Transcript of Interview of
Former Legislative Director
January 31, 2018**

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1 Jeff Brown: All right. I've got the recorder on here. This is Jeff Brown with the Office of
2 Congressional Ethics. With me is Paul Solis. We are in the Office of Congressional
3 Ethics Conference room. Before us is Former Legislative Director ("Former LD"). It is
4 January 31st, 2018. It's about 2:55 P.M. Former Legislative Director has been
5 provided with a copy of the false statements warning and signed the
6 acknowledgement form, and with that, we will get started.

7 All right, Former Legislative Director, you were previously employed in
8 Representative Schweikert's office, is that correct?

9 Former LD: Yes.

10 Jeff Brown: Okay. In what roles were you employed by Representative Schweikert?

11 Former LD: I started in 2011 as a legislative assistant, and then over the course of approximately
12 six years I was with him, I served as a legislative assistant, senior legislative
13 assistant, and legislative director.

14 Jeff Brown: Okay. Can you just walk us through roughly when those promotions occurred?

15 Former LD: Let's see. Legislative director position, I took off that role on in, I want to say June
16 2016. From May, June time frame of 2013 to 2016 I was a senior LA. Then prior to
17 that, I'm having trouble remembering the time frame, when I went from LC to
18 legislative assistant, but it was about a three-year span from when I first joined the
19 office, or I guess two years from when I joined the office and then became senior LA.
20 Over that two years, I went from LC to LA.

21 Jeff Brown: Okay. Do you remember roughly when you joined the office?

22 Former LD: When David took office after being elected in November of 2010, I joined his staff
23 officially in January 2011.

24 Jeff Brown: You're no longer with Representative Schweikert's office?

25 Former LD: Correct.

26 Jeff Brown: Where are you now?

27 Former LD: I'm a consultant with IBM on an Air Force contract.

28 Jeff Brown: Did you work anywhere in between IBM and Representative Schweikert's office?

29 Former LD: I began talks with the Mick Mulvaney office to move over there in the winter of, let's
30 see, 2016. Prior to him receiving the nomination, and then officially joined the
31 Mulvaney office for a brief tenure before he resigned to become budget director.
32 Then I transitioned the office to the new member, and officially left the hill in July of
33 2017.

34 Jeff Brown: When you were in Representative Schweikert's office, did you work with Beau
35 Brunson?

36 Former LD: Yes.

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1 Jeff Brown: Okay. Who was Beau Brunson?

2 Former LD: At the time when I first joined the office, Beau was a legislative assistant. Then, not
3 sure what the time frame is, but then he became legislative director and then deputy
4 chief of staff in the Schweikert office.

5 Jeff Brown: I should have asked you this first. Who did you report to while you were in
6 Representative Schweikert's office?

7 Former LD: I don't think there was a true linear reporting path. But, if I was to say my direct
8 supervisor, the majority of time I was in the office was Beau Brunson.

9 Jeff Brown: How about Oliver Schwab, what was his role in the office?

10 Former LD: Oliver was the majority of the time, Chief of Staff. He left for a brief period to focus
11 solely on David's campaign work during the 2012 election cycle, I believe it was,
12 when he was, had a primary with Ben Quayle who was also a sitting member of
13 Congress at the time. He came back in, I believe a senior policy advisor role for a
14 short time, and then regained the Chief of Staff role.

15 Jeff Brown: What . . . when you were in Representative Schweikert's office, what was your
16 involvement with financial matters such as budgeting or MRA disbursement
17 requests?

18 Former LD: Limited at best. Outside of submitting MRA reimbursements requests, Oliver almost
19 overwhelmingly maintained full control over that process and then, also worked
20 with Beau for a period of time near the end of the time Beau was in the office, when
21 Beau was kind of the one overseeing that process.

22 Jeff Brown: When you say that process, what are you referring to?

23 Former LD: The reimbursement process.

24 Jeff Brown: Okay. How about budgeting?

25 Former LD: Again, that was overwhelmingly Oliver and then Beau maintained a portion of it for
26 a short time near his tenure in the office.

27 Jeff Brown: Aside from Beau and Oliver, was there anybody else who handled financial matters
28 in the office?

29 Former LD: Over the six years I was there, I believe we've had a couple of different folks who
30 were the financial administrators. I believe, let's see, Mary O'Connor was there for a
31 time. I'm not sure what time frame or when she was there. There was April
32 Blankenship, I believe her name was, she was also one of the financial
33 administrators. Then I also believe Kelly Roberson had some, at least fidelity on the
34 issues, if not, when she was kind of in the administrative position, doing David's
35 scheduling before taking over policy. I think she worked closely with Oliver in some
36 of the budgetary matters.

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1 Jeff Brown: What I'd like to do now, I just want to show you, just a couple documents. So you're
2 aware, you may or may not have had anything to do with these documents, but I'm
3 going to ask you some just very specific questions about them. First one I'm going to
4 hand you is, just for the record, it's labeled CAO_0005 and that runs through 0010.
5 Just generally, what is this document?

6 Former LD: This looks like it is a reimbursement form for the travel credit card, for, trying to
7 see ... was it plane tickets, reimbursements?

8 Jeff Brown: Yeah. I want to just draw your attention to the front page real quick.

9 Paul Solis: Just real quick, is this something you would see in your role, throughout your time,
10 in your various roles, sorry, at Representative Schweikert's office, would you ever
11 see these forms?

12 Former LD: Yes, but again, I didn't have any involvement as far as signing off or managing
13 reimbursements outside of my own reimbursements which, when I would do
14 reimbursements, is always for my own card, and then be reimbursed from that. I
15 never used the government card for any of my travel or any of the, you know, events
16 that we organized.

17 Paul Solis: When you would submit the reimbursement documents, would you submit that to
18 someone else in the office or directly to payroll and finance?

19 Former LD: It depends. When we had a financial administrator, either April or Mary, I would fill
20 out the forms and give the forms to them, or I'd give it to Oliver to manage.

21 Jeff Brown: Would there always be ... I should ask you like this. How many individuals would
22 look at a travel reimbursement form that you submitted before it went over to the
23 office of finance?

24 Former LD: It would depend. If it was solely when Oliver was managing the office finances and
25 we didn't have a financial administrator, it was usually just Oliver. If when we did
26 have a financial administrator, it was usually them and with Oliver's
27 acknowledgement or Oliver signing off on the member's signature on the
28 reimbursement forms.

29 Jeff Brown: You sort of touched on my next question. Who are authorized signers in
30 Representative Schweikert's office for a disbursement form like this?

31 Former LD: Oliver. I believe Beau was, at the end of his term, when he was managing it, and I
32 believe Kelly was as well. I'm not certain on Kelly, but I believe when she was also in
33 that administrative role, she had some authority to sign off as well.

34 Jeff Brown: Would Representative Schweikert ever have any involvement in MRA disbursement
35 requests like this one?

36 Former LD: Not that I'm aware of. At least none that I've ever personally been involved with. It
37 was always at the staff levels and never took it to the member directly.

38 Jeff Brown: Do you know whose signature that is on page five?

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1 Former LD: I couldn't tell you.

2 Jeff Brown: Were there any specific policies and procedures in Representative Schweikert's
3 office regarding MRA disbursements, be they for travel, office supplies, or whatever
4 it might be?

5 Former LD: None formally that I was ever aware of. There were, more often than not, when we
6 did have official functions or need to use MRA funds, we were told to put it on our
7 personal card and submit the reimbursement. But outside of that, there was never
8 any official policy directives that were pushed down from leadership down to staff.

9 Jeff Brown: Who in the office would you say would've been the most knowledgeable about the
10 spending practices of Mr. Schwab?

11 Former LD: Outside of our financial administrators, when they were on board, I don't think
12 there was anyone who ever had true fidelity over his use of the MRA. Perhaps Beau
13 and Kelly had some insight onto that when they were working those issues, but I
14 don't think there was anyone who would provide kind of a check and balance.

15 Jeff Brown: Okay.

16 Paul Solis: Why do you say that?

17 Former LD: Well, as chief of staff, one, Oliver maintained pretty close hold over the budgetary
18 matters. I don't think there was ever anyone who truly had a grasp over how money
19 was being flowed or either payroll or MRA reimbursements. David certainly gave
20 Oliver a lot of leeway to run the office as he saw fit. As long as issues weren't arising,
21 David didn't really bring it up, at least not in my presence. And then other than that,
22 it was a small portion of when Beau was managing the financials in connection with
23 Oliver, I don't think, outside of the financial administrators, there was anyone who
24 really were working with Oliver closely.

25 Jeff Brown: You mentioned a couple times that there was either a period or some periods of
26 time where there was no financial administrator that would have been working with
27 Oliver on financial reimbursement, MRA disbursement matters. Do you recall
28 roughly what time periods those were?

29 Former LD: No, not off the top of my head.

30 Jeff Brown: Was that for the majority of your time in the office or for a more limited time?

31 Former LD: I think it was more limited near the latter half of my time in the office. Trying to
32 think over here. Yeah, I'm having trouble drawing a time frame and I wouldn't want
33 to speculate, but it was certainly in the second half of my time in the office when
34 there was more a financial administrator engagement.

35 Jeff Brown: At some point, did Mary O'Connor leave Representative Schweikert's office?

36 Former LD: I think she left the office similar to when I was leaving the office, if I remember
37 correctly. It could've been slightly before that, but in that winter of 2016 if I recall
38 correctly.